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PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
 US Department of Commerce
 United States Patent and Trademark
 Office, PCT
 2011 South Clark Place Room
 CP2/5C24
 Arlington, VA 22202
 ETATS-UNIS D'AMERIQUE
 in its capacity as elected Office

| | |
|---|---|
| Date of mailing (day/month/year) 18 April 2002 (18.04.02) | |
| International application No. PCT/US01/18339 | Applicant's or agent's file reference 60084-A-PCT |
| International filing date (day/month/year) 06 June 2001 (06.06.01) | Priority date (day/month/year) 06 June 2000 (06.06.00) |
| Applicant GOFF, Stephen, P. et al | |

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
 04 January 2002 (04.01.02)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

| | |
|---|---|
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 | Authorized officer Pascal Piriou Telephone No.: (41-22) 338.83.38 |
|---|---|

PATENT COOPERATION TREATY

UPW

From the INTERNATIONAL SEARCHING AUTHORITY

To: JOHN P. WHITE
COOPER & DUNHAM LLP
1185 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

NOV 13 2001

Date of Mailing
(day/month/year)

25 OCT 2001

Applicant's or agent's file reference

60084-A-PCT

FOR FURTHER ACTION See paragraphs 1 and 4 below

International application No.

PCT/US01/18389

International filing date
(day/month/year)

06 JUNE 2001

Applicant

THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

2 mo ART 19 Resp. 12.25.01

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

AP

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO

3 mo IDS. 1.25.02

AP

84, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No.: (41-22) 740.14.35

(60084, 60084-A-PCT)

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

1.6.02 Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

2.6.02 Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3250

Authorized officer

HANKYEL TIMOTHY PARK, PH.D.

Telephone No. (703) 308-0196



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| | | |
|--|--|---|
| Applicant's or agent's file reference 60084-A-PCT | FOR FURTHER ACTION | see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |
| International application No. PCT/US01/18539 | International filing date (day/month/year) 06 JUNE 2001 | (Earliest) Priority Date (day/month/year) 06 JUNE 2000 |
| Applicant THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | | |

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the
- ☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.
2. ☐ Certain claims were found unsearchable (See Box I).
3. ☐ Unity of invention is lacking (See Box II).
4. With regard to the **title**,
- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established by this Authority to read as follows:
5. With regard to the **abstract**,
- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is Figure No. _____
- ☐ as suggested by the applicant.
- ☐ because the applicant failed to suggest a figure.
- ☐ because this figure better characterizes the invention.
- ☒ None of the figures.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/18339

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12Q 1/70; C12P 21/06, 21/04; C12N 15/00; A61K 39/00

US CL : 435/5, 69.1, 69.7, 69.9, 320.1; 424/192.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/5, 69.1, 69.7, 69.9, 320.1; 424/192.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

US PATENTS, DIALOG, HIV reverse transcriptase, yeast two-hybrid system, inhibitors

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y | US 5,922,856 A (FRITZ et al) 13 July 1999, col: 10, lines 56-67. | 1-64 |
| Y | LUBAN et al., Genetic Assay for Multimerization of Retroviral gag Polyproteins, Journal of Virology, Aug. 1992, Vol. 66, No. 8, p. 5157-5160, entire article. | 1-64 |
| Y | MORRIS et al., The Thumb Domain of the P51-Subunit is Essential for Activation of HIV Reverse Transcriptase, Biochemistry, October 1999, Vol. 38, No. 46, pages 15097-15103, entire article. | 1-64 |



Further documents are listed in the continuation of Box C.



See patent family annex.

| | | | |
|--|---|-----|--|
| * Special categories of cited documents: | | "I" | later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention |
| "A" | document defining the general state of the art which is not considered to be of particular relevance | "X" | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone |
| "E" | earlier document published on or after the international filing date | "Y" | document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| "L" | document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "A" | document member of the same patent family |
| "O" | document referring to an oral disclosure, use, exhibition or other means | | |
| "P" | document published prior to the international filing date but later than the priority date claimed | | |

Date of the actual completion of the international search

02 JULY 2001

Date of mailing of the international search report

25 OCT 2001

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

HANKYEL TIMOTHY PARK, PH.D.

Telephone No. (703) 308-0196

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|---|-----------------------|
| Y | LEGRAIN et al., Detection of protein-protein interactions using different vectors in the two-hybrid system, Nucleic Acids Research, 1994, Vol. 22, No. 15, pages 3241-3242, entire article. | 1-64 |
| Y | FIELDS et al., A novel genetic system to detect protein-protein interactions, Nature, July 1989, Vol. 340, pages 245-246, see entire article. | 1-64 |

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty and of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended ?

The claims only.

The description and the drawings may only be amended during international preliminary examination under Chapter II.

When ? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments ?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How ? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

What documents must/may accompany the amendments ?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confounded with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: JOHN P. WHITE
COOPER & DUNHAM LLP
1185 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

| | |
|--|---|
| Applicant's or agent's file reference 60084-A-PCT | Date of Mailing (day/month/year) |
| International application No. PCT/US01/18339 | International filing date (day/month/year) 06 JUNE 2001 |
| Applicant THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | |

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No.: (+1-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

| | |
|---|---|
| Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230 | Authorized officer HANKYEL TIMOTHY PARK, PH.D. Telephone No. (703) 308-0196 |
|---|---|

JPL

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

To:
JOHN P. WHITE
COOPER & DUNHAM LLP
1185 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

COMMUNICATION IN CASES FOR WHICH
NO OTHER FORM IS APPLICABLE

Response Due: 7/28/2001

| | |
|---|---|
| | Date of mailing (day/month/year) 28 JUN 01 |
| Applicant's or agent's file reference 60084-A-PCT | REPLY DUE See paragraph 1 below |
| International application No. PCT/US01/18339 | International filing date (day/month/year) 06 JUN 01 |
| Applicant THE TRUSTEES OF COLUMBIA UNIVERSITY, IN THE CITY & OF NEW YORK | |

1. ☒ REPLY DUE within 1 months/~~days~~ from the above date of mailing
- ☐ NO REPLY DUE, however, see below _____
- ☒ IMPORTANT COMMUNICATION
- ☐ INFORMATION ONLY

2. COMMUNICATION:

The indication of "continuation" or "continuation-in-part" is made next to the designation of United States of America in Box No. V of the international application identified above. However, the required information for the prior application (i.e., country of filing, application number and filing date) is not indicated in the Supplemental Box of the Request form. A The indication of "continuation" or "continuation-in-part" is made next to the designation of United States of America in Box No. V of the international application identified above. However, the required information for the prior application (i.e., country of filing, application number and filing date) is not indicated in the Supplemental Box of the Request form. A proposed replacement sheet containing the required information should be submitted. See PCT Rule 4.14 and the PCT Applicant's Guide, Volume I/A, paragraph 90.

Name and mailing address of the receiving Office
Assistant Commissioner for Patent
Box PCT
Washington, D.C. 20231 Attn:RO/US
Facsimile No. 703-305-3230

Authorized officer

Melvin S. Brooks, Sr. *MSB*
Telephone No. 703-305-5163

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference (if desired) (12 characters maximum) 60084-A-PCT/JPW/AX

Box No. I TITLE OF INVENTION TWO-HYBRID ASSAY THAT DETECTS HIV-1 REVERSE TRANSCRIPTASE DIMERIZATION

Box No. II APPLICANT

☐ This person is also inventor

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

THE TRUSTEES OF COLUMBIA UNIVERSITY IN
THE CITY OF NEW YORK
West 116th Street and Broadway
New York, New York 10027
United States of America

Telephone No.

None

Facsimile No.

None

Teleprinter No.

None

Applicant's registration No. with the Office

None

State (that is, country) of nationality:

United States of America

State (that is, country) of residence:

United States of America

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America only

☐ the States indicated in the Supplemental Box

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

GOFF, Stephen P.
66 Windsor Road
Tenafly, NJ 07670
United States of America

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

None

State (that is, country) of nationality:

United States of America

State (that is, country) of residence:

United States of America

This person is applicant for the purposes of:

☐ all designated States

☐ all designated States except the United States of America

☒ the United States of America only

☐ the States indicated in the Supplemental Box

☒ Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☐ agent

☐ common representative

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)

WHITE, John P.
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of America

Telephone No.

(212) 278-0400

Facsimile No.

(212) 391-0526

Teleprinter No.

None

Agent's registration No. with the Office

28,678

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

| | |
|--|--|
| Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) | |
| <i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i> | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) TACHEDJIAN, Gilda 154 Haven Avenue Apt. 1004 New York, New York 10032 United States of America | This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office <p style="text-align: center;">None</p> |
| State (that is, country) of nationality: <p style="text-align: center;">Australia</p> | State (that is, country) of residence: <p style="text-align: center;">United States of America</p> |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor. <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office |
| State (that is, country) of nationality: | State (that is, country) of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office |
| State (that is, country) of nationality: | State (that is, country) of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office |
| State (that is, country) of nationality: | State (that is, country) of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| <input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet. | |

Box No.V DESIGNATION OF STATES

Mark the applicable check-boxes below: at least one must be marked.

The following designations are hereby made under Rule 4.9(a):

Regional Patent

- ☒ AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ EP European Patent: AT Austria, BE Belgium, CH & LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> AE United Arab Emirates | <input checked="" type="checkbox"/> GE Georgia | <input checked="" type="checkbox"/> MW Malawi |
| <input checked="" type="checkbox"/> AG Antigua and Barbuda | <input checked="" type="checkbox"/> GH Ghana | <input checked="" type="checkbox"/> MX Mexico |
| <input checked="" type="checkbox"/> AL Albania | <input checked="" type="checkbox"/> GM Gambia | <input checked="" type="checkbox"/> MZ Mozambique |
| <input checked="" type="checkbox"/> AM Armenia | <input checked="" type="checkbox"/> HR Croatia | <input checked="" type="checkbox"/> NO Norway |
| <input checked="" type="checkbox"/> AT Austria | <input checked="" type="checkbox"/> HU Hungary | <input checked="" type="checkbox"/> NZ New Zealand |
| <input checked="" type="checkbox"/> AU Australia | <input checked="" type="checkbox"/> ID Indonesia | <input checked="" type="checkbox"/> PL Poland |
| <input checked="" type="checkbox"/> AZ Azerbaijan | <input checked="" type="checkbox"/> IL Israel | <input checked="" type="checkbox"/> PT Portugal |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina | <input checked="" type="checkbox"/> IN India | <input checked="" type="checkbox"/> RO Romania |
| | <input checked="" type="checkbox"/> IS Iceland | <input checked="" type="checkbox"/> RU Russian Federation |
| <input checked="" type="checkbox"/> BB Barbados | <input checked="" type="checkbox"/> JP Japan | |
| <input checked="" type="checkbox"/> BG Bulgaria | <input checked="" type="checkbox"/> KE Kenya | <input checked="" type="checkbox"/> SD Sudan |
| <input checked="" type="checkbox"/> BR Brazil | <input checked="" type="checkbox"/> KG Kyrgyzstan | <input checked="" type="checkbox"/> SE Sweden |
| <input checked="" type="checkbox"/> BY Belarus | <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea | <input checked="" type="checkbox"/> SG Singapore |
| <input checked="" type="checkbox"/> BZ Belize | | <input checked="" type="checkbox"/> SI Slovenia |
| <input checked="" type="checkbox"/> CA Canada | <input checked="" type="checkbox"/> KR Republic of Korea | <input checked="" type="checkbox"/> SK Slovakia |
| <input checked="" type="checkbox"/> CH & LI Switzerland and Liechtenstein | <input checked="" type="checkbox"/> KZ Kazakhstan | <input checked="" type="checkbox"/> SL Sierra Leone |
| <input checked="" type="checkbox"/> CN China | <input checked="" type="checkbox"/> LC Saint Lucia | <input checked="" type="checkbox"/> TJ Tajikistan |
| <input checked="" type="checkbox"/> CO Colombia | <input checked="" type="checkbox"/> LK Sri Lanka | <input checked="" type="checkbox"/> TM Turkmenistan |
| <input checked="" type="checkbox"/> CR Costa Rica | <input checked="" type="checkbox"/> LR Liberia | <input checked="" type="checkbox"/> TR Turkey |
| <input checked="" type="checkbox"/> CU Cuba | <input checked="" type="checkbox"/> LS Lesotho | <input checked="" type="checkbox"/> TT Trinidad and Tobago |
| <input checked="" type="checkbox"/> CZ Czech Republic | <input checked="" type="checkbox"/> LT Lithuania | |
| <input checked="" type="checkbox"/> DE Germany | <input checked="" type="checkbox"/> LU Luxembourg | <input checked="" type="checkbox"/> TZ United Republic of Tanzania |
| <input checked="" type="checkbox"/> DK Denmark | <input checked="" type="checkbox"/> LV Latvia | <input checked="" type="checkbox"/> UA Ukraine |
| <input checked="" type="checkbox"/> DM Dominica | <input checked="" type="checkbox"/> MA Morocco | <input checked="" type="checkbox"/> UG Uganda |
| <input checked="" type="checkbox"/> DZ Algeria | <input checked="" type="checkbox"/> MD Republic of Moldova | <input checked="" type="checkbox"/> US United States of America |
| <input checked="" type="checkbox"/> EE Estonia | | (continuation-in-part) |
| <input checked="" type="checkbox"/> ES Spain | <input checked="" type="checkbox"/> MG Madagascar | <input checked="" type="checkbox"/> UZ Uzbekistan |
| <input checked="" type="checkbox"/> FI Finland | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia | <input checked="" type="checkbox"/> VN Viet Nam |
| <input checked="" type="checkbox"/> GB United Kingdom | <input checked="" type="checkbox"/> MN Mongolia | <input checked="" type="checkbox"/> YU Yugoslavia |
| <input checked="" type="checkbox"/> GD Grenada | | <input checked="" type="checkbox"/> ZA South Africa |
| | | <input checked="" type="checkbox"/> ZW Zimbabwe |

Check-boxes below reserved for designating States which have become party to the PCT after issuance of this sheet:

- ☒ EC Ecuador ☐ ☐
- ☐ ☐ ☐

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

Box No. VI PRIORITY CLAIM

The priority of the following earlier application(s) is hereby claimed:

| Filing date of earlier application (day/month/year) | Number of earlier application | Where earlier application is: | | |
|---|----------------------------------|----------------------------------|--|--|
| | | national application: country | regional application: regional Office | international application: receiving Office |
| item (1) 6.6.00 (6 June, 2000) | 09/588,939 | US | | |
| item (2) | | | | |
| item (3) | | | | |
| item (4) | | | | |
| item (5) | | | | |

☐ Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office) identified above as:

☐ all items
 ☒ item (1)
 ☐ item (2)
 ☐ item (3)
 ☐ item (4)
 ☐ item (5)
 ☐ other, see Supplemental Box

* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)):

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA / US

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)

Number

Country (or regional Office)

Box No. VIII DECLARATIONS

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

Number of
declarations

- | | | |
|---|--|---|
| <input type="checkbox"/> Box No. VIII (i) | Declaration as to the identity of the inventor | : |
| <input type="checkbox"/> Box No. VIII (ii) | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent | : |
| <input type="checkbox"/> Box No. VIII (iii) | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | : |
| <input type="checkbox"/> Box No. VIII (iv) | Declaration of inventorship (only for the purposes of the designation of the United States of America) | : |
| <input type="checkbox"/> Box No. VIII (v) | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty | : |

| Box No. IX CHECK LIST; LANGUAGE OF FILING | | Number of items |
|--|---|-----------------|
| <p>This international application contains:</p> <p>(a) the following number of sheets in paper form:</p> <p style="padding-left: 20px;">request (including declaration sheets) : 6</p> <p style="padding-left: 20px;">description (excluding sequence listing part) : 128</p> <p style="padding-left: 20px;">claims : 22</p> <p style="padding-left: 20px;">abstract : 1</p> <p style="padding-left: 20px;">drawings : 15</p> <hr style="width: 100%;"/> <p style="padding-left: 20px;">Sub-total number of sheets : 172</p> <p style="padding-left: 20px;">sequence listing part of description (actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (b) below) : 0</p> <hr style="width: 100%;"/> <p style="padding-left: 20px;">Total number of sheets : 172</p> <p>(b) sequence listing part of description filed in computer readable form</p> <p style="padding-left: 20px;">(i) <input type="checkbox"/> only (under Section 801(a)(i))</p> <p style="padding-left: 20px;">(ii) <input type="checkbox"/> in addition to being filed in paper form (under Section 801(a)(ii))</p> <p style="padding-left: 20px;">Type and number of carriers (diskette, CD-ROM, CD-R or other) on which the sequence listing part is contained (additional copies to be indicated under item 9(ii), in right column):</p> | <p>This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):</p> <p>1. <input checked="" type="checkbox"/> fee calculation sheet : 1</p> <p>2. <input type="checkbox"/> original separate power of attorney :</p> <p>3. <input type="checkbox"/> original general power of attorney :</p> <p>4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: :</p> <p>5. <input type="checkbox"/> statement explaining lack of signature :</p> <p>6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): :</p> <p>7. <input type="checkbox"/> translation of international application into (language): :</p> <p>8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material :</p> <p>9. <input type="checkbox"/> sequence listing in computer readable form (indicate also type and number of carriers (diskette, CD-ROM, CD-R or other))</p> <p style="padding-left: 20px;">(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :</p> <p style="padding-left: 20px;">(ii) <input type="checkbox"/> (only where check-box (b)(i) or (b)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter :</p> <p style="padding-left: 20px;">(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing part mentioned in left column :</p> <p>10. <input checked="" type="checkbox"/> other (specify): <u>Express Mail Certificate of Mailing dated June 6, 2001 bearing Express Mail Label No. EK873625596US</u></p> | |
| Figure of the drawings which should accompany the abstract: | Language of filing of the international application: <u>English</u> | |

| Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE |
|---|
| <p><i>Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).</i></p> <div style="text-align: center; padding: 20px;"> <p style="margin: 0;">NAME: <u>Michael J. Cleare</u></p> <p style="margin: 0;">TITLE: <u>Executive Director, Columbia Innovation Enterprise</u></p> </div> <div style="text-align: right; padding-right: 50px;"> <p style="font-size: 1.2em; margin: 0;">06/05/01</p> <p style="margin: 0;">DATE</p> </div> |

| For receiving Office use only | |
|---|---|
| <p>1. Date of actual receipt of the purported international application:</p> <hr/> <p>3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:</p> <hr/> <p>4. Date of timely receipt of the required corrections under PCT Article 11(2):</p> <hr/> | <p>2. Drawings:</p> <p><input type="checkbox"/> received:</p> <p><input type="checkbox"/> not received:</p> |
| <p>5. International Searching Authority (if two or more are competent): <u>ISA /</u></p> | <p>6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid</p> |
| For International Bureau use only | |
| <p>Date of receipt of the record copy by the International Bureau:</p> | |

| Box No. IX CHECK LIST; LANGUAGE OF FILING | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|---|---|-----|--------|----|----------|---|----------|----|-----------------------------------|------------|---|---|-------------------------------|------------|--|--|---|---|--|--|--|--|--|--|--|---|--|---|--|--|--|--|--|---|--|---|--|--|--|---|--|
| <p>This international application contains:</p> <p>(a) the following number of sheets in paper form:</p> <table style="width: 100%;"> <tr> <td style="width: 80%;">request (including declaration sheets)</td> <td style="width: 20%; text-align: right;">6</td> </tr> <tr> <td>description (excluding sequence listing part)</td> <td style="text-align: right;">128</td> </tr> <tr> <td>claims</td> <td style="text-align: right;">22</td> </tr> <tr> <td>abstract</td> <td style="text-align: right;">1</td> </tr> <tr> <td>drawings</td> <td style="text-align: right;">15</td> </tr> <tr> <td>Sub-total number of sheets</td> <td style="text-align: right;">172</td> </tr> <tr> <td>sequence listing part of description (actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (b) below)</td> <td style="text-align: right;">0</td> </tr> <tr> <td>Total number of sheets</td> <td style="text-align: right;">172</td> </tr> </table> <p>(b) sequence listing part of description filed in computer readable form</p> <p>(i) <input type="checkbox"/> only (under Section 801(a)(i))</p> <p>(ii) <input type="checkbox"/> in addition to being filed in paper form (under Section 801(a)(ii))</p> <p>Type and number of carriers (diskette, CD-ROM, CD-R or other) on which the sequence listing part is contained (additional copies to be indicated under item 9(ii), in right column):</p> | request (including declaration sheets) | 6 | description (excluding sequence listing part) | 128 | claims | 22 | abstract | 1 | drawings | 15 | Sub-total number of sheets | 172 | sequence listing part of description (actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (b) below) | 0 | Total number of sheets | 172 | <p>This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):</p> <table style="width: 100%;"> <tr> <td style="width: 80%;">1. <input checked="" type="checkbox"/> fee calculation sheet</td> <td style="width: 20%; text-align: right;">1</td> </tr> <tr> <td>2. <input type="checkbox"/> original separate power of attorney</td> <td style="text-align: right;"></td> </tr> <tr> <td>3. <input type="checkbox"/> original general power of attorney</td> <td style="text-align: right;"></td> </tr> <tr> <td>4. <input type="checkbox"/> copy of general power of attorney; reference number, if any:</td> <td style="text-align: right;"></td> </tr> <tr> <td>5. <input type="checkbox"/> statement explaining lack of signature</td> <td style="text-align: right;"></td> </tr> <tr> <td>6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s):</td> <td style="text-align: right;"></td> </tr> <tr> <td>7. <input type="checkbox"/> translation of international application into (language):</td> <td style="text-align: right;"></td> </tr> <tr> <td>8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material</td> <td style="text-align: right;"></td> </tr> <tr> <td>9. <input type="checkbox"/> sequence listing in computer readable form (indicate also type and number of carriers (diskette, CD-ROM, CD-R or other))</td> <td style="text-align: right;"></td> </tr> <tr> <td colspan="2">(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application)</td> </tr> <tr> <td colspan="2">(ii) <input type="checkbox"/> (only where check-box (b)(i) or (b)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter</td> </tr> <tr> <td colspan="2">(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing part mentioned in left column</td> </tr> <tr> <td colspan="2">10. <input checked="" type="checkbox"/> other (specify): <u>Express Mail Certificate of Mailing dated June 6, 2001 bearing Express Mail Label No. EK873625596US</u></td> </tr> </table> | 1. <input checked="" type="checkbox"/> fee calculation sheet | 1 | 2. <input type="checkbox"/> original separate power of attorney | | 3. <input type="checkbox"/> original general power of attorney | | 4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: | | 5. <input type="checkbox"/> statement explaining lack of signature | | 6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): | | 7. <input type="checkbox"/> translation of international application into (language): | | 8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material | | 9. <input type="checkbox"/> sequence listing in computer readable form (indicate also type and number of carriers (diskette, CD-ROM, CD-R or other)) | | (i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) | | (ii) <input type="checkbox"/> (only where check-box (b)(i) or (b)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter | | (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing part mentioned in left column | | 10. <input checked="" type="checkbox"/> other (specify): <u>Express Mail Certificate of Mailing dated June 6, 2001 bearing Express Mail Label No. EK873625596US</u> | |
| request (including declaration sheets) | 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| description (excluding sequence listing part) | 128 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| claims | 22 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| abstract | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| drawings | 15 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Sub-total number of sheets | 172 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| sequence listing part of description (actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (b) below) | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total number of sheets | 172 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. <input checked="" type="checkbox"/> fee calculation sheet | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. <input type="checkbox"/> original separate power of attorney | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. <input type="checkbox"/> original general power of attorney | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5. <input type="checkbox"/> statement explaining lack of signature | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7. <input type="checkbox"/> translation of international application into (language): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9. <input type="checkbox"/> sequence listing in computer readable form (indicate also type and number of carriers (diskette, CD-ROM, CD-R or other)) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (ii) <input type="checkbox"/> (only where check-box (b)(i) or (b)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing part mentioned in left column | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10. <input checked="" type="checkbox"/> other (specify): <u>Express Mail Certificate of Mailing dated June 6, 2001 bearing Express Mail Label No. EK873625596US</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Figure of the drawings which should accompany the abstract: | Language of filing of the international application: English | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE</p> <p><i>Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).</i></p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <div style="display: flex; justify-content: space-around; align-items: flex-end;"> <div style="text-align: center;"> <p>Stephen P. Goff</p> </div> <div style="text-align: center;"> <p>6/18/01</p> <p>DATE</p> </div> <div style="text-align: center;"> <p>Gilda Tachedjian</p> </div> <div style="text-align: center;"> <p>6/18/01</p> <p>DATE</p> </div> </div> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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|---|---|
| 1. Date of actual receipt of the purported international application: | <p>2. Drawings:</p> <p><input type="checkbox"/> received:</p> <p><input type="checkbox"/> not received:</p> |
| 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application: | |
| 4. Date of timely receipt of the required corrections under PCT Article 11(2): | |
| 5. International Searching Authority (if two or more are competent): ISA / | |
| 6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid | |
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| Date of receipt of the record copy by the International Bureau: | |

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference (if desired) (12 characters maximum) 60084-A-PCT/JPW/AX

Box No. I TITLE OF INVENTION TWO-HYBRID ASSAY THAT DETECTS HIV-1 REVERSE TRANSCRIPTASE DIMERIZATION

Box No. II APPLICANT

☐ This person is also inventor

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

THE TRUSTEES OF COLUMBIA UNIVERSITY IN
THE CITY OF NEW YORK
West 116th Street and Broadway
New York, New York 10027
United States of America

Telephone No.

None

Facsimile No.

None

Teleprinter No.

None

Applicant's registration No. with the Office

None

State (that is, country) of nationality:

United States of America

State (that is, country) of residence:

United States of America

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America only

☐ the States indicated in the Supplemental Box

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

GOFF, Stephen P.
66 Windsor Road
Tenafly, NJ 07670
United States of America

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

None

State (that is, country) of nationality:

United States of America

State (that is, country) of residence:

United States of America

This person is applicant for the purposes of:

☐ all designated States

☐ all designated States except the United States of America

☒ the United States of America only

☐ the States indicated in the Supplemental Box

☒ Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☒ agent

☐ common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

WHITE, John P.
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of America

Telephone No.

(212) 278-0400

Facsimile No.

(212) 391-0526

Teleprinter No.

None

Agent's registration No. with the Office

28,678

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

| | |
|---|---|
| Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) | |
| <i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i> | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> TACHEDJIAN, Gilda 154 Haven Avenue Apt. 1004 New York, New York 10032 United States of America | This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office <p align="center">None</p> |
| State <i>(that is, country)</i> of nationality: <p align="center">Australia</p> | State <i>(that is, country)</i> of residence: <p align="center">United States of America</p> |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor. <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office |
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- (71) Applicant (*for all designated States except US*): **THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK** [US/US]; West 116th Street and Broadway, New York, NY 10027 (US).
- (72) Inventors; and
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(54) Title: TWO-HYBRID ASSAY THAT DETECTS HIV-1 REVERSE TRANSCRIPTASE DIMERIZATION

(57) Abstract: This invention provides methods of determining whether a compound inhibits HIV-1 reverse transcriptase. This invention provides methods of determining whether a compound inhibits formation of a complex between a p66 and p51 subunit polypeptides of HIV-1 reverse transcriptase. This invention provides a method of determining whether a compound enhances formation of a complex between a p66 and p51 subunit polypeptides of HIV-1 reverse transcriptase. This invention provides methods of determining whether a compound inhibits formation of a complex between two p66 subunit polypeptides of HIV-1 reverse transcriptase. This invention provides methods of determining whether a compound enhances formation of a complex between two p66 subunit polypeptides of HIV-1 reverse transcriptase.

WO 01/94645 A1

5 **TWO-HYBRID ASSAY THAT DETECTS**
 HIV-1 REVERSE TRANSCRIPTASE DIMERIZATION

 This invention is a continuation-in-part and claims the
 benefit of U.S. Serial No. 09/588,939, filed June 6, 2000,
10 the contents of which are hereby incorporated by reference
 into this application.

 The invention disclosed herein was made in part with
 Government support under NIH Grant No. AI 27690. Accordingly,
15 the government has certain rights in this invention.

 Throughout this application, various publications are
 referenced within parentheses. Disclosures of these
 publications in their entireties are hereby incorporated by
20 reference into this application to more fully describe the
 state of the art to which this invention pertains. Full
 bibliographic citations for these references may be found
 immediately preceding the claims.

25

BACKGROUND OF THE INVENTION

 HIV-1 reverse transcriptase (RT) catalyzes the conversion of
 genomic RNA into cDNA. The enzyme is a heterodimer of p66 and
 p51 subunits, and the dimerization of these subunits is
30 required for optimal enzyme activity. To analyze this process
 at the genetic level we developed constructs that permit the
 detection of the interaction between these subunits in the

-2-

yeast two-hybrid system. Genetic analysis of RT subdomains required for heterodimerization revealed that the fingers and palm of p66 were dispensable for p51 interaction. However, as little as a 26-amino acid deletion at the C terminus of p51 prevented dimerization with p66. A primer grip mutation, L234A, previously shown to inhibit RT dimerization by biochemical assays, also prevented RT dimerization in the yeast two-hybrid system. Second-site mutations that restored RT dimerization in yeast to the L234A parent were recovered in the tryptophan repeat region at the dimer interface and at the polymerase active site, suggesting the involvement of these sites in RT dimerization. In vitro binding experiments confirmed the effects of the L234A mutation and the suppressor mutations on the interaction of the two subunits.

The RT two-hybrid assay should facilitate the extensive genetic analysis of RT dimerization and should make possible the rapid screening of potential inhibitors of this essential process.

The HIV type 1 (HIV-1) reverse transcriptase (RT) is required for the conversion of genomic RNA into double-stranded proviral DNA, catalyzed by the RNA- and DNA-dependent polymerase and ribonuclease H activities of the enzyme. HIV-1 RT is an asymmetric dimer formed by the association of p66 and p51 polypeptides, which are cleaved from a large Pr160^{GagPol} precursor by the viral protease during virion assembly. p51 contains identical N-terminal sequences as p66, but lacks the C-terminal ribonuclease H (RNase H) domain (1). The structure of HIV-1 RT has been elucidated by x-ray crystallography in a variety of configurations, including

-3-

unliganded (2), complexed to nonnucleoside RT inhibitors (3), or complexed with double-stranded DNA either with (4) or without deoxynucleotide triphosphate (5, 6). Such analyses have shown that p66 can be divided structurally into the
5 polymerase and RNase H domains, with the polymerase domain further divided into the fingers, palm, thumb and connections subdomains (6). Although p51 has the same polymerase domains as p66, the relative orientations of these individual domains differ markedly, resulting in p51 assuming a closed
10 structure.

The RT heterodimer represents the biologically relevant form of the enzyme; the monomeric subunits have only low catalytic activity (7). Structural analysis reveals three major
15 contacts between p66 and p51, with most of the interaction surfaces being largely hydrophobic (8, 9). The three contacts comprise an extensive dimer interface that includes the fingers subdomain of p51 with the palm of p66, the connection subdomains of both subunits, and the thumb
20 subdomain of p51 with the RNase H domain of p66 (9).

Several single amino acid substitutions in HIV-1 RT have been shown to inhibit heterodimer association (10-12). These include the mutations L234A (10,11), G231A (11) and W229A
25 (11), all located in the primer grip region of the p66 subunit, and L289K (12) in the thumb subdomain. Remarkably, these mutations are not located at the dimer interface and probably mediate their effects indirectly through conformational changes in the p66 subunit.

-4-

Several biochemical assays have been used previously to specifically measure RT dimerization. Some are based on the physical separation of monomers and dimers as determined by analytical ultracentrifugation (8) and gel filtration (7).
5 Other assays include intrinsic tryptophan fluorescence (13), chemical crosslinking (14), the use of affinity tags (15) and polymerase activity itself (7). Although these methods detect dimerization, they either lack specificity or are not easy to perform. Moreover, these assays do not facilitate
10 the rapid genetic analysis of protein-protein interactions under physiological conditions nor are they suitable for high throughput screening for RT dimerization inhibitors.

The yeast two-hybrid (Y2H) system (16) has been exploited to
15 study the homomeric interactions of several retroviral proteins (see, e.g., ref. 17), and heteromeric interactions between viral proteins and various cellular partners (see, e.g., ref. 18). We have used this system to perform a genetic analysis of the determinants of RT dimerization. In
20 addition, we have identified second-site mutations that restore heterodimerization to a noninteracting mutant p66.

-5-

SUMMARY OF THE INVENTION

This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:

- 5 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a
10 reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
15
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter
20 gene in step (a) indicates that the compound inhibits formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1
25 reverse transcriptase.

This invention provides a method of determining whether a compound inhibits formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51
30 subunit polypeptide of HIV-1 reverse transcriptase which

-6-

comprises:

- 5 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 10 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.
- 15
- 20

This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:

- 25 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a
- 30

-7-

- reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 5 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates that the compound
- 10 is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the
- 15 compound inhibits HIV-1 reverse transcriptase.

This invention provides a method of determining whether a compound enhances formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase which

20 comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which
- 25 expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51
- 30 subunit polypeptide, and determining the level of

-8-

activity of the reporter gene in the cell in the presence of the compound; and

- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates that the compound is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter

-9-

gene in step (a) indicates that the compound inhibits formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

This invention provides a method of determining whether a compound inhibits formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the

-10-

second p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of determining whether a
5 compound inhibits HIV-1 reverse transcriptase which comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of
10 HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide
15 and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the
20 reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse
25 transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

This invention provides a method of determining whether a
30 compound enhances formation of a complex between a first p66

-11-

subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

- a) contacting a yeast cell with the compound, which cell
5 comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and
10 (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 15 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an
20 activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

25

This invention provides a method of making a pharmaceutical composition which comprises:

- a) determining whether a compound inhibits HIV-1 reverse transcriptase by one of the methods described herein;
- 30 b) recovering the compound if it is determined to inhibit

-12-

HIV-1 reverse transcriptase; and

- c) admixing the compound with a pharmaceutically acceptable carrier.

5 This invention provides a method of inhibiting formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide,
10 or (3) both the p51 subunit polypeptide and the p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 2, so to thereby inhibit formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit
15 polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of enhancing formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse
20 transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide, or (3) both the p51 subunit polypeptide and the p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 4, so to thereby
25 enhance formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of inhibiting formation of
30 a complex between a first p66 subunit polypeptide of HIV-1

-13-

reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 6, so to thereby inhibit formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of enhancing formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 8, so to thereby enhance formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a compound determined to be capable of inhibiting formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a compound determined to be capable

-14-

of enhancing formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

- 5 This invention provides a compound determined to be capable of inhibiting formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase.

10

This invention provides a compound determined to be capable of enhancing formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse
15 transcriptase.

-15-

BRIEF DESCRIPTION OF THE FIGURES**FIGURE 1**

RT fusion constructs, encoded fusion proteins and expression
5 of fusions in yeast reporter strains. The six-alanine linker
is denoted by the hatched box, and the HA epitope by black
shaded regions. p66 and p51 indicate the 66 kDa and 51 kDa
subunits of HIV-1 RT, respectively. Expression of fusion
proteins was determined by introducing the indicated plasmids
10 into CTY10-5d, except for p66GBT9 and p51AS2-1 which were
introduced into HF7c. Fusion protein expression was detected
by probing yeast protein lysates with anti-RT antibodies as
described in the Materials and Methods. ++, high; +,
moderate; +/-, low and -, undetectable protein expression.
15 ND, not done.

FIGURE 2

Interaction of p66 deletion mutants with Gal4AD-HA-51 fusion
protein. p66 polymerase domains were fused to the C-terminus
20 of lexA87 in pSH2-1. CTY10-5d was cotransformed with the
appropriate constructs. Transformants were lifted onto
nitrocellulose and subjected to β -gal colony lift assay to
determine intensities of blue color produced as defined in
Tables 1 and 2. β -gal activity from liquid assays is
25 expressed in Miller Units. Expression in CTY10-5d of p66
fusion proteins was detected using anti-lexA polyclonal
antibodies. Expression levels are as defined as in the
legend for Fig. 1.

30 **FIGURE 3**

-16-

Interaction of C-terminal deletion mutants of p51 with
lexA202-Ala-66. p51 domains were fused to the C-terminus of
the Gal4AD in pACTII. Deletions at the C-terminus are
denoted by the number of amino acids missing from the end of
5 p51. β -gal activity was determined as described in the
legend of Fig. 2. Expression of p51 fusion proteins in
CTY10-5d was detected using anti-GAL4AD antibodies, and
expression levels are as denoted in the legend for Fig. 1.

10 FIGURE 4

L234A inhibits RT dimerization in the Y2H assay. CTY10-5d
was cotransformed with expression constructs, and yeast
patches were subjected to both the β -gal colony lift and
liquid assays. The green is hydrolyzed X-gal and reflects
15 β -gal activity. p66wt and p51wt denote wild-type
lex202-Ala-HX66 and Gal4AD-HX51 fusion proteins,
respectively. pAD denotes pGADNOT. p66mut and p51mut denote
RT fusion proteins lex202-Ala-66-L234A and Gal4AD-51-L234A,
respectively.

20

FIGURE 5

Ribbon diagram of unliganded HIV-1 RT showing position of
L234A primer grip mutation and locations of suppressors
(shaded black). The figure was generated by MOLSCRIPT (38)
25 and RASTER3D (39) with coordinates (2) retrieved from the
Research Collaboratory for Structural Bioinformatics (RCSB)
Protein Data Bank (PDB) (<http://www.rcsb.org/pdb>, PDB ID:
1HMY.pdb). Domains are defined as in (3) with fingers, blue;
palm, green; thumb, yellow; connection, red; and RNase H in
30 purple. Domains in p66 are in fully saturated colors,

-17-

whereas in p51 they have decreased saturation. Secondary structure was assigned using DSSP (40). Spirals represent alpha-helices, arrows denote beta-strands.

5 FIGURE 6

In vitro assay for binding of GST-p51 and p66 to form active RT heterodimers. Panel A: Bacterial lysates containing GST-p51 and various p66 proteins as indicated were incubated overnight and captured on glutathione beads. The complexes
10 were eluted, resolved by SDS-PAGE, blotted to membrane and detected by monoclonal anti-RT antibodies. Mock, GST-p51 alone. Panel B: An aliquot of each incubation mix, reflecting input protein, was directly analyzed by SDS-PAGE and Western blot as in Panel A. Panel C: Bound proteins were eluted with
15 glutathione and assayed for RT activity with homopolymeric template-primer. Values are normalized to the wild-type control.

FIGURE 7

20 Dose-response curve showing the enhancement by NNRTIs of b-gal activity in yeast cotransformed with *lexA₈₇₋₆₆* and *Gal4AD-51*. The fold increase in b-gal activity was calculated by dividing b-gal activity (in Miller Units) for each drug concentration with the b-gal activity from cells grown in the
25 absence of inhibitor. The data represents the average results from two independent experiments. The concentration of drug that mediates a 5-fold increase in b-gal activity is shown in parenthesis. A: b-gal enhancement activity of the NNRTIs, efavirenz, HBY 097, a-APA, nevirapine, 8-Cl-TIBO and
30 delavirdine. B: b-gal enhancement activity of the

-18-

carboxanilide class of NNRTIs.

Figure 8

Effect of the Y181C mutation on enhancement of b-gal activity
5 in yeast by nevirapine. Yeast expressing wild-type lexA₈₇₋₆₆
and Gal4AD-51 or mutant lexA₈₇₋₆₆Y181C and wild type Gal4AD-51
were grown in the presence of nevirapine and assayed for b-
gal activity. Results are expressed as fold increase in b-
gal activity compared to untreated cells. Values on top of
10 each bar indicates b-gal activity (in Miller Units) +/-
standard deviation.

Figure 9

Effect of efavirenz on b-gal activity in yeast expressing the
15 dimerization defective mutants L234A and W401A. Yeast
expressing wild-type p66 bait and p51 prey fusions, mutant
p66 bait and wild-type p51 prey and mutant p66 bait and
mutant p51 prey fusions were assayed for b-gal activity.
Results are expressed as the fold increase in b-gal activity
20 compared to untreated controls. Values on top of each bar
indicates b-gal activity in Miller Units. Effect of efavirenz
on yeast expressing bait and prey fusions with the W401A
change (A) or L234A change (B).

25 Figure 10

Coimmunoprecipitation assay detecting heterodimer formation in
yeast propagated in the presence of NNRTIs. (A): Yeast
expressing p66 bait and p51 prey fusions containing the W401A
mutation were grown in the presence of efavirenz (EFV), UC781
30 or no drug. After growth, yeast were processed in the

-19-

absence or presence of added drug (drug in ip). Heterodimers present in lysates were detected by immunoprecipitation of Gal4AD-HA-51W401A with anti-HA antibodies followed by immunodetection of coimmunoprecipitated p66. The b-gal activity for each treatment was determined and expressed in Miller Units. (B). Yeast expressing p66 bait and p51 prey fusions containing the L234A mutation.

Figure 11

Western blot analysis of HIV-1 RT heterodimers formed in the presence of efavirenz (EFV) in vitro. Bacteria expressing either wild-type p66-His and GST-p51, or dimerization defective mutants were induced and lysates were prepared. Lysates were mixed and incubated overnight at 4°C with or without drug and dimers were captured by binding to Glutathione Sepharose 4B beads. Heterodimer bound to beads were resolved by SDS-PAGE and proteins detected by probing with anti-RT monoclonal antibodies.

Figure 12

Western blot analysis of HIV-1 RT heterodimers formed in the presence of NNRTIs in vitro. Bacterially expressed proteins p66-His and GST-p51 were combined in the presence of 1 - 1000 fold molar excess of drug and incubated overnight. Heterodimers were captured and detected as described in the legend of Fig. 11.

-20-

Figure 13

Western blot analysis of heterodimer formation after pretreatment of one of the subunits with efavirenz. p66-His, GST-p51 and M15 bacterial lysate were preincubated in the absence or presence of 10 - 1000 fold molar excess of efavirenz. Lysates were washed and the presence of residual efavirenz was assayed by the addition of GST-p51, p66-His or both subunits, respectively. Heterodimers were captured and detected as described in the legend of Fig. 11.

10

Figure 14

Molecular surface representation of the p66 and p51 subunits of HIV-1 RT. Residues colored yellow (p66) or magenta (p51) are amino acids that are not accessible to solvent in the presence of the other subunit in the heterodimeric form. The NNRTI binding pocket is shown in red. The sum of the surface areas colored in yellow and magenta is the total buried surface area at the interface of the two subunits.

Figure 15

Binding of delavirdine (BHAP) (A) and UC781 (B) at the interface of the p66 (magenta) and p51 (yellow) subunits of the HIV-1 RT. Delavirdine, a large inhibitor, is bound further away from the p66/p51 interface. The relative orientation of the inhibitors in the NNRTI binding pocket is shown in (C). Some residues that comprise the NNRTI binding site have been omitted for clarity.

30

-21-

DETAILED DESCRIPTION OF THE INVENTION

This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which
5 comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which
10 expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of
15 activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound,
20 wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase,
25 thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

This invention provides a method of determining whether a compound inhibits formation of a complex between a p66
30 subunit polypeptide of HIV-1 reverse transcriptase and a p51

-22-

subunit polypeptide of HIV-1 reverse transcriptase which comprises:

- 5 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a
10 reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 15 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits
20 formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of determining whether a
25 compound inhibits HIV-1 reverse transcriptase which comprises:

- 30 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which

-23-

- expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 5 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates that the compound is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.
- 10
- 15

This invention provides a method of determining whether a compound enhances formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

20

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a
- 25
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-24-

complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and

- 5 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates that the compound
- 10 is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.

15 This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:

- 20 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence
- 25 of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 30 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the

-25-

reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

10 This invention provides a method of determining whether a compound inhibits formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

- 15 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and
20 (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell
25 in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter
30 gene in step (a) indicates that the compound inhibits

-26-

formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

5

This invention provides a method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:

- 10 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and
15 (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 20 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an
25 activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

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-27-

This invention provides a method of determining whether a compound enhances formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

The methods described herein may also be adapted to other types of cells in addition to a yeast cell. Other cell types include but are not limited to eucaryotic, procaryotic,

-28-

bacteria, E. coli, mammalian and human cells.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a peptide having a DNA binding domain, and (b) the fusion protein expressed by the second plasmid comprises a peptide having a transcription activation domain.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a peptide having a transcription activation domain, and (b) the fusion protein expressed by the second plasmid comprises a peptide having a DNA binding domain.

In one embodiment of the fusion proteins described herein, the peptide having a DNA binding domain is N-terminal relative to the p66 or p51 subunit polypeptide. In another embodiment, the peptide having a DNA binding domain is C-terminal relative to the 51 or p66 subunit polypeptide. The peptide having a DNA binding domain may be bound in the fusion protein to the p51 or p66 subunit polypeptides. In one embodiment, they are bound by peptide bonds. Alternatively, the fusion protein may also comprise one or more additional components, such as a peptide linker and/or an epitope tag. These additional components may separate the peptides from the p51 or p66 subunit polypeptide. The various components may be bound to each other by peptide bonds.

In one embodiment of the fusion proteins described herein, the peptide having a transcription activation domain is N-

-29-

terminal relative to the p66 or p51 subunit polypeptide. In another embodiment, the peptide having a transcription activation domain is C-terminal relative to the 51 or p66 subunit polypeptide. The peptide having a transcription
5 activation domain may be bound in the fusion protein to the p51 or p66 subunit polypeptides. In one embodiment, they are bound by peptide bonds. Alternatively, the fusion protein may also comprise one or more additional components, such as a peptide linker and/or an epitope tag. These additional
10 components may separate the peptides from the p51 or p66 subunit polypeptide. The various components may be bound to each other by peptide bonds.

The invention described herein may employ p51 and p66
15 subunits from among various HIV-1 strains. One may use the reverse transcriptase coding regions for p66 and p51 from any HIV-1 strain. For example, in the HIV-1 NL4-3 strain, the amino acid and nucleic acid sequences of which may be found in a pNL4-3 clone deposited at Genbank Accession No. M19921.
20 The p51 and p66 subunits share the same N-terminal sequence, whereas p51 does not have the C-terminal ribonuclease H region. Accordingly, p66 corresponds to codons 1-560 and p51 corresponds to codons 1-440 in the RT gene.

25 The invention may employ other HIV-1 strains such as the following: HIV_{HXB2G} (Genbank Accession No. K03455), HIV_{BRUGG} (Genbank Accession No. K02013), HIV_{MNCG} (Genbank Accession No. M17449), HIV_{NY5CG} (Genbank Accession No. M38431); HIV_{JRCCSF} (Genbank Accession No. M38429), and HIV_{SF2CG} (Genbank Accession
30 No. K02007).

-30-

In one embodiment of the fusion proteins described herein, the DNA binding domain is a LexA DNA binding domain. The amino acid and nucleic acid sequences for LexA may be found
5 at Genbank Accession No. J01643. In one embodiment of the methods described herein, the peptide having a DNA binding domain comprises LexA amino acid residues 1-87. The portion of LexA which corresponds to amino acid residues 1-87 may comprise a LexA DNA binding domain. In one embodiment of the
10 methods described herein, the peptide having a DNA binding domain comprises LexA amino acid residues 1-202. The portion of LexA which corresponds to amino acid residues 1-202 may comprise a LexA DNA binding domain.

15 In one embodiment of the fusion proteins described herein, the DNA binding domain is a GAL4 DNA binding domain. The amino acid and nucleic acid sequences for Gal4 may be found at Genbank Accession No. K01486.

20 In one embodiment of the fusion proteins described herein, the transcription activation domain is a GAL4 transcription activation domain. In one embodiment, the peptide having a transcription activation domain comprises GAL4 amino acid residues 768-881. The portion of Gal4 which corresponds to
25 amino acid residues 768-881 may comprise a Gal4 activation domain.

In one embodiment of the fusion proteins described herein, the transcription activation domain is a VP16 transcription
30 activation domain. The amino acid and nucleic acid sequences

-31-

for VP16 may be found at Genbank Accession No. U89963.

In one embodiment of the fusion proteins described herein, the fusion protein expressed by the first plasmid, the second
5 plasmid or both plasmids comprises a peptide comprising consecutive alanine residues. The above described peptide comprising consecutive alanine residues may be referred to as an alanine linker. Such linker sequence may be a series of consecutive amino acid residues other than alanine. Such
10 linker sequence may be of various lengths. For example, the linker may comprise 2 amino acids, 3 amino acids, 4 amino acids, 5 amino acids, 6 amino acids, 7 amino acids, 8 amino acids, 9 amino acids or 10 amino acids. The peptide linker may also be of longer lengths, for example, from about 10
15 amino acids to about 20 amino acids. In one embodiment, the peptide comprising consecutive alanine residues comprises at least 6 alanine residues.

As used herein, the following standard abbreviations are used
20 throughout the specification to indicate specific amino acids: A=ala=alanine; R=arg=arginine; N=asn=asparagine; D=asp=aspartic acid; C=cys=cysteine; Q=gln=glutamine; E=glu=glutamic acid; G=gly=glycine; H=his=histidine; I=ile=isoleucine; L=leu=leucine; K=lys=lysine;
25 M=met=methionine; F=phe=phenylalanine; P=pro=proline; S=ser=serine; T=thr=threonine; W=trp=tryptophan; Y=tyr=tyrosine; V=val=valine; B=asx=asparagine or aspartic acid; Z=glx=glutamine or glutamic acid.

30 As used herein, the following standard abbreviations are used

-32-

throughout the specification to indicate specific nucleotides: C=cytosine; A=adenosine; T=thymidine; G=guanosine; and U=uracil.

- 5 In one embodiment of the fusion proteins described herein, the fusion protein comprises an influenza hemagglutinin (HA) epitope tag. The sequence for influenza hemagglutinin (HA) epitope may be found in Genbank Accession No. U29899 at nucleotide bases 5042-5068 within the plasmid pACT2. The
10 invention may also comprise other types of epitope tags known to one skilled in the art.

In one embodiment of the fusion proteins described herein, the reporter gene is a LacZ reporter gene. The amino acid and
15 nucleic acid sequences for LacZ may be found at Genbank Accession no. U89671.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a
20 peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide
25 corresponding to amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza hemagglutinin (HA) epitope tag is bound at its C-
30 terminal amino acid to the N-terminal amino acid of the p51

-33-

subunit polypeptide.

In the fusion proteins described herein, the location of the various components relative to each other may be varied. For example, in the embodiment described above, the peptide comprising a LexA protein DNA binding domain may alternatively be bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide. The p51 subunit polypeptide may be N-terminal to the Gal4 peptide. One skilled in art would know how to make and use the various vectors and plasmids described herein.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-87, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to

-34-

amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza
5 hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

In one embodiment of the methods described herein, (a) the
10 fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-87, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the of the p66 subunit polypeptide; and (b) the fusion protein expressed by the
15 second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

20 In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-202, and a peptide comprising six consecutive alanine residues, wherein the LexA peptide is bound at its C-terminal amino
25 acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by
30 the second plasmid comprises a Gal4 peptide corresponding to

-35-

amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

5 In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-202, and a peptide comprising six consecutive alanine residues, wherein the LexA peptide is bound at its C-terminal amino
10 acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by
15 the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza
20 hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

In one embodiment of the methods described herein, (a) the
25 fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-
30 terminal amino acid of the influenza hemagglutinin (HA)

-36-

epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p51 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein peptide comprising a LexA protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

-37-

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide
5 comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal
10 amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a
15 peptide comprising a Gal4 protein DNA binding domain, which peptide comprising a Gal4 protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

20 In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit
25 polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p51 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain.

-38-

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, which peptide comprising a LexA protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a Gal4 protein DNA binding domain, which peptide comprising a Gal4 protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

In one embodiment of the methods described herein, (a) the fusion protein expressed by the first plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza

-39-

hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein
5 the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino
10 acid of the p66 subunit polypeptide.

This invention provides a method of making a pharmaceutical composition which comprises:

- a) determining whether a compound inhibits HIV-1 reverse
15 transcriptase by one of the methods described herein;
- b) recovering the compound if it is determined to inhibit HIV-1 reverse transcriptase; and
- c) admixing the compound with a pharmaceutically acceptable carrier.

20

As used herein, "inhibits" means that the amount is reduced as compared with the amount that would occur in a control sample without the compound. As used herein "enhanced" means that the amount is increased compared with the amount that would occur
25 in a control sample without the compound.

As used herein, the term "compound" includes both protein and non-protein moieties. In one embodiment, the compound is a small molecule. In another embodiment, the compound is a
30 protein. The protein may be, by way of example, an antibody

-40-

directed against a portion of a p51 or p66 subunit. The agent may be derived from a library of low molecular weight compounds or a library of extracts from plants or other organisms. In an embodiment, the agent is known. In a
5 separate embodiment, the agent is not previously known. The agents of the subject invention include but are not limited to compounds or molecular entities such as peptides, polypeptides, and other organic or inorganic molecules and combinations thereof

10

In one embodiment of the methods described herein, the compound is an antibody or a portion of an antibody. In one embodiment of the antibody, the antibody is a monoclonal antibody. In one embodiment of the antibody, the antibody is
15 a polyclonal antibody. In one embodiment of the antibody, the antibody is a humanized antibody. In one embodiment of the antibody, the antibody is a chimeric antibody. The portion of the antibody may comprise a light chain of the antibody. The portion of the antibody may comprise a heavy chain of the
20 antibody. The portion of the antibody may comprise a Fab portion of the antibody. The portion of the antibody may comprise a $F(ab')_2$ portion of the antibody. The portion of the antibody may comprise a F_d portion of the antibody. The portion of the antibody may comprise a F_v portion of the
25 antibody. The portion of the antibody may comprise a variable domain of the antibody. The portion of the antibody may comprise one or more CDR domains of the antibody.

In one embodiment of the methods described herein, the
30 compound is a polypeptide. In one embodiment of the methods

-41-

described herein, the compound is a oligopeptide. In one embodiment of the methods described herein, the compound is a nonpeptidyl agent. In one embodiment, the nonpeptidyl agent is a compound having a molecular weight less than 500
5 daltons.

In one embodiment of the methods described herein, the reverse HIV-1 transcriptase enzyme or its p51 and p66 subunits is present in a subject and the contacting is
10 effected by administering the compound to the subject. Accordingly, the subject invention has various applications which includes HIV treatment such as treating a subject who has become afflicted with HIV. As used herein, "afflicted with HIV" means that the subject has at least one cell which
15 has been infected by HIV. As used herein, "treating" means either slowing, stopping or reversing the progression of an HIV disorder. In the preferred embodiment, "treating" means reversing the progression to the point of eliminating the disorder. As used herein, "treating" also means the reduction
20 of the number of viral infections, reduction of the number of infectious viral particles, reduction of the number of virally infected cells, or the amelioration of symptoms associated with HIV. Another application of the subject invention is to prevent a subject from contracting HIV. As
25 used herein, "contracting HIV" means becoming infected with HIV, whose genetic information replicates in and/or incorporates into the host cells. Another application of the subject invention is to treat a subject who has become infected with HIV. As used herein, "HIV infection" means the
30 introduction of HIV genetic information into a target cell,

-42-

such as by fusion of the target cell membrane with HIV or an HIV envelope glycoprotein⁺ cell. The target cell may be a bodily cell of a subject. In the preferred embodiment, the target cell is a bodily cell from a human subject. Another
5 application of the subject invention is to inhibit HIV infection. As used herein, "inhibiting HIV infection" means reducing the amount of HIV genetic information introduced into a target cell population as compared to the amount that would be introduced without said composition.

10

This invention provides a method of treating a subject afflicted with HIV which comprises administering to the subject an effective dose of an agent of composition described herein. In one embodiment, the agent or composition
15 may be enough to decrease the subject's viral load. As used herein, "treating" means either slowing, stopping or reversing the progression of an HIV disorder. In the preferred embodiment, "treating" means reversing the progression to the point of eliminating the disorder. As used herein, "treating"
20 also means the reduction of the number of viral infections, reduction of the number of infectious viral particles, reduction of the number of virally infected cells, or the amelioration of symptoms associated with HIV. As used herein, "afflicted with HIV" means that the subject has at least one
25 cell which has been infected by HIV.

This invention provides a method of preventing a subject from contracting HIV which comprises administering to the subject an effective dose of an agent or composition described
30 herein.

-43-

The dose of the agent or composition of the invention will vary depending on the subject and upon the particular route of administration used. Dosages can range from 0.1 to 100,000 $\mu\text{g/kg}$. Based upon the composition, the dose can be delivered
5 continuously, such as by continuous pump, or at periodic intervals. For example, on one or more separate occasions. Desired time intervals of multiple doses of a particular composition can be determined without undue experimentation by one skilled in the art.

10

As used herein, "effective dose" means an amount in sufficient quantities to either treat the subject or prevent the subject from becoming HIV infected. A person of ordinary skill in the art can perform simple titration experiments to determine
15 what amount is required to treat the subject. As used herein, "contracting HIV" means becoming infected with HIV, whose genetic information replicates in and/or incorporates into the host cells. In one embodiment, the effective amount of the agent or composition comprises from about 0.000001 mg/kg
20 body weight to about 100 mg/kg body weight of the subject.

As used herein, "subject" means any animal or artificially modified animal capable of becoming HIV-infected. The subjects include but are not limited to a human being, a
25 primate, an equine, an opine, an avian, a bovine, a porcine, a canine, a feline or a mouse. Artificially modified animals include, but are not limited to, SCID mice with human immune systems. The animals include but are not limited to mice, rats, dogs, guinea pigs, ferrets, rabbits, and primates. In
30 the preferred embodiment, the subject is a human being. The

-44-

subject may be an "HIV-infected subject" which is a subject having at least one of his or her own cells invaded by HIV. In the preferred embodiment, the HIV infected subject is a human being. The subject may be a "non-HIV-infected subject" which is a subject not having any of his own cells invaded by HIV. In the preferred embodiment, the non-HIV infected subject is a human being.

As used herein, "administering" may be effected or performed using any of the methods known to one skilled in the art, which includes intralesional, intraperitoneal, intramuscular, subcutaneous, intravenous, liposome mediated delivery, transmucosal, intestinal, topical, nasal, oral, anal, ocular or otic delivery.

In one embodiment, amount of the compound administered is between about 1mg and about 50mg per kg body weight of the subject. In one embodiment, amount of the compound administered is between about 2mg and about 40mg per kg body weight of the subject. In one embodiment, amount of the compound administered is between about 3mg and about 30mg per kg body weight of the subject. In one embodiment, the amount of the compound administered is between about 4mg and about 20mg per kg body weight of the subject. In one embodiment, amount of the compound administered is between about 5mg and about 10mg per kg body weight of the subject.

In one embodiment of the methods described herein, the compound is administered at least once per day. In one embodiment of the methods described herein, the agent is

-45-

administered daily. In one embodiment of the methods described herein, the agent is administered every other day. In one embodiment of the methods described herein, the agent is administered every 6 to 8 days. In one embodiment of the
5 methods described herein, the agent is administered weekly.

This invention provides a method of inhibiting formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1
10 reverse transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide, or (3) both the p51 subunit polypeptide and the p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 2, so to thereby
15 inhibit formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of enhancing formation of a
20 complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide, or (3) both the p51 subunit polypeptide and the p66 subunit
25 polypeptide, with an effective amount of a compound determined to do so by the method of claim 4, so to thereby enhance formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

-46-

This invention provides a method of inhibiting formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting
5 either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 6, so to thereby inhibit formation of a
10 complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a method of enhancing formation of a
15 complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit
20 polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 8, so to thereby enhance formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide
25 of HIV-1 reverse transcriptase.

In one embodiment of the above methods, the HIV-1 reverse transcriptase is present in a subject and the contacting is effected by administering the compound to the subject. The
30 compound may be administered by various routes known to one

-47-

skilled in the art including but not limited to those wherein the compound is administered orally, intravenously, subcutaneously, intramuscularly, topically or by liposome-mediated delivery. The subject may be any subject including
5 but not limited to a human being, a primate, an equine, an opine, an avian, a bovine, a porcine, a canine, a feline or a mouse. In one embodiment, the compound is administered at least once per day. In one embodiment, the compound is administered daily. In one embodiment, the compound is
10 administered every other day. In one embodiment, compound is administered every 6 to 8 days. In one embodiment, the compound is administered weekly.

This invention provides a compound determined to be capable
15 of inhibiting formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a compound determined to be capable
20 of enhancing formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

This invention provides a compound determined to be capable
25 of inhibiting formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase.

30 This invention provides a compound determined to be capable

-48-

of enhancing formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase.

5

This invention provides a composition which comprises one of the compounds described herein and a carrier. As used herein, "composition" means a mixture. The compositions include but are not limited to those suitable for oral, rectal, intravaginal, topical, nasal, ophthalmic, or parenteral, intravenous, subcutaneous, intramuscular, and intraperitoneal administration to a subject. As used herein, "parenteral" includes but is not limited to subcutaneous, intravenous, intramuscular, or intrasternal injections or infusion techniques.

This invention provides an agent or composition described herein and a carrier. Such carrier may be one that is a pharmaceutically acceptable carrier. Pharmaceutically acceptable carriers are well known to those skilled in the art. Such pharmaceutically acceptable carriers may include but are not limited to aqueous or non-aqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are propylene glycol, polyethylene glycol, vegetable oils such as olive oil, and injectable organic esters such as ethyl oleate. Aqueous carriers include water, alcoholic/aqueous solutions, emulsions or suspensions, saline and buffered media. Parenteral vehicles include sodium chloride solution, Ringer's dextrose, dextrose and sodium chloride, lactated Ringer's or fixed oils. Intravenous

-49-

vehicles include fluid and nutrient replenishers, electrolyte replenishers such as those based on Ringer's dextrose, and the like. Preservatives and other additives may also be present, such as, for example, antimicrobials, antioxidants, 5 chelating agents, inert gases and the like.

In one embodiment of the agents described herein, the compound is an antibody or portion of an antibody. As used herein, "antibody" means an immunoglobulin molecule comprising 10 two heavy chains and two light chains and which recognizes an antigen. The immunoglobulin molecule may derive from any of the commonly known classes, including but not limited to IgA, secretory IgA, IgG and IgM. IgG subclasses are also well known to those in the art and include but are not limited to 15 human IgG1, IgG2, IgG3 and IgG4. It includes, by way of example, both naturally occurring and non-naturally occurring antibodies. Specifically, "antibody" includes polyclonal and monoclonal antibodies, and monovalent and divalent fragments thereof. Furthermore, "antibody" includes chimeric antibodies, 20 wholly synthetic antibodies, single chain antibodies, and fragments thereof. Optionally, an antibody can be labeled with a detectable marker. Detectable markers include, for example, radioactive or fluorescent markers. The antibody may be a human or nonhuman antibody. The nonhuman antibody may be 25 humanized by recombinant methods to reduce its immunogenicity in man. Methods for humanizing antibodies are known to those skilled in the art. As used herein, "monoclonal antibody," also designated as mAb, is used to describe antibody molecules whose primary sequences are essentially identical 30 and which exhibit the same antigenic specificity. Monoclonal

-50-

antibodies may be produced by hybridoma, recombinant, transgenic or other techniques known to one skilled in the art. The term "antibody" includes, but is not limited to, both naturally occurring and non-naturally occurring
5 antibodies. Specifically, the term "antibody" includes polyclonal and monoclonal antibodies, and antigen-binding fragments thereof. Furthermore, the term "antibody" includes chimeric antibodies, wholly synthetic antibodies, and antigen-binding fragments thereof. Accordingly, in one
10 embodiment, the antibody is a monoclonal antibody. In one embodiment, the antibody is a polyclonal antibody. In one embodiment, the antibody is a humanized antibody. In one embodiment, the antibody is a chimeric antibody. Such chimeric antibodies may comprise a portion of an antibody
15 from one source and a portion of an antibody from another source.

In one embodiment, the portion of the antibody comprises a light chain of the antibody. As used herein, "light chain"
20 means the smaller polypeptide of an antibody molecule composed of one variable domain (VL) and one constant domain (CL), or fragments thereof. In one embodiment, the portion of the antibody comprises a heavy chain of the antibody. As used herein, "heavy chain" means the larger polypeptide of an
25 antibody molecule composed of one variable domain (VH) and three or four constant domains (CH1, CH2, CH3, and CH4), or fragments thereof. In one embodiment, the portion of the antibody comprises a Fab portion of the antibody. As used herein, "Fab" means a monovalent antigen binding fragment of
30 an immunoglobulin that consists of one light chain and part

-51-

of a heavy chain. It can be obtained by brief papain digestion or by recombinant methods. In one embodiment, the portion of the antibody comprises a $F(ab')_2$ portion of the antibody. As used herein, " $F(ab')_2$ fragment" means a bivalent
5 antigen binding fragment of an immunoglobulin that consists of both light chains and part of both heavy chains. It can be obtained by brief pepsin digestion or recombinant methods. In one embodiment, the portion of the antibody comprises a F_d portion of the antibody. In one embodiment, the portion of
10 the antibody comprises a F_v portion of the antibody. In one embodiment, the portion of the antibody comprises a variable domain of the antibody. In one embodiment, the portion of the antibody comprises a constant domain of the antibody. In one embodiment, the portion of the antibody comprises one or more
15 CDR domains of the antibody. As used herein, "CDR" or "complementarity determining region" means a highly variable sequence of amino acids in the variable domain of an antibody.

20 This invention provides humanized forms of the antibodies described herein. As used herein, "humanized" describes antibodies wherein some, most or all of the amino acids outside the CDR regions are replaced with corresponding amino acids derived from human immunoglobulin molecules. In one
25 embodiment of the humanized forms of the antibodies, some, most or all of the amino acids outside the CDR regions have been replaced with amino acids from human immunoglobulin molecules but where some, most or all amino acids within one or more CDR regions are unchanged. Small additions,
30 deletions, insertions, substitutions or modifications of

-52-

amino acids are permissible as long as they would not abrogate the ability of the antibody to bind a given antigen. Suitable human immunoglobulin molecules would include IgG1, IgG2, IgG3, IgG4, IgA and IgM molecules. A "humanized" antibody would retain a similar antigenic specificity as the original antibody.

One skilled in the art would know how to make the humanized antibodies of the subject invention. Various publications, several of which are hereby incorporated by reference into this application, also describe how to make humanized antibodies. For example, the methods described in United States Patent No. 4,816,567 comprise the production of chimeric antibodies having a variable region of one antibody and a constant region of another antibody.

United States Patent No. 5,225,539 describes another approach for the production of a humanized antibody. This patent describes the use of recombinant DNA technology to produce a humanized antibody wherein the CDRs of a variable region of one immunoglobulin are replaced with the CDRs from an immunoglobulin with a different specificity such that the humanized antibody would recognize the desired target but would not be recognized in a significant way by the human subject's immune system. Specifically, site directed mutagenesis is used to graft the CDRs onto the framework.

Other approaches for humanizing an antibody are described in United States Patent Nos. 5,585,089 and 5,693,761 and WO 90/07861 which describe methods for producing humanized

-53-

immunoglobulins. These have one or more CDRs and possible additional amino acids from a donor immunoglobulin and a framework region from an accepting human immunoglobulin. These patents describe a method to increase the affinity of an antibody for the desired antigen. Some amino acids in the framework are chosen to be the same as the amino acids at those positions in the donor rather than in the acceptor. Specifically, these patents describe the preparation of a humanized antibody that binds to a receptor by combining the CDRs of a mouse monoclonal antibody with human immunoglobulin framework and constant regions. Human framework regions can be chosen to maximize homology with the mouse sequence. A computer model can be used to identify amino acids in the framework region which are likely to interact with the CDRs or the specific antigen and then mouse amino acids can be used at these positions to create the humanized antibody.

The above patents 5,585,089 and 5,693,761, and WO 90/07861 also propose four possible criteria which may be used in designing the humanized antibodies. The first proposal was that for an acceptor, use a framework from a particular human immunoglobulin that is unusually homologous to the donor immunoglobulin to be humanized, or use a consensus framework from many human antibodies. The second proposal was that if an amino acid in the framework of the human immunoglobulin is unusual and the donor amino acid at that position is typical for human sequences, then the donor amino acid rather than the acceptor may be selected. The third proposal was that in the positions immediately adjacent to the 3 CDRs in the humanized immunoglobulin chain, the donor amino acid rather

-54-

than the acceptor amino acid may be selected. The fourth proposal was to use the donor amino acid residue at the framework positions at which the amino acid is predicted to have a side chain atom within 3Å of the CDRs in a three dimensional model of the antibody and is predicted to be capable of interacting with the CDRs. The above methods are merely illustrative of some of the methods that one skilled in the art could employ to make humanized antibodies.

10 This invention provides isolated nucleic acids encoding the antibodies described herein or their humanized versions. The nucleic acid can be RNA, DNA or cDNA. In one embodiment, the nucleic acid encodes the light chain. In one embodiment, the nucleic acid encodes the heavy chain. In one embodiment, the
15 nucleic acid encodes both the heavy and light chains. In one embodiment, one or more nucleic acids encode the Fab portion. In one embodiment, one or more nucleic acids encode CDR portions. In one embodiment, the nucleic acid encodes the variable domain.

20

In one embodiment of the agents described herein, the agent is a polypeptide. In one embodiment of the agents described herein, the agent is a oligopeptide. As used herein, "polypeptide" means two or more amino acids linked by a
25 peptide bond.

The nucleic acids, polypeptides and antibodies described herein may be isolated and/or purified. One skilled in the art would know how to isolate and/or purify them. Methods are
30 provided in any laboratory manual such as "Molecular Cloning"

-55-

by Samrook, Fritsch and Maniatis.

In one embodiment of the agents described herein, the compound is a nonpeptidyl agent. As used herein, "nonpeptidyl agent" means an agent that does not consist in its entirety of a linear sequence of amino acids linked by peptide bonds. A nonpeptidyl molecule may, however, contain one or more peptide bonds. In one embodiment, the nonpeptidyl agent is a compound having a molecular weight less than 500 daltons. As used herein, a "small molecule" is one having a molecular weight less than 500 daltons.

The polypeptides described herein may be made by any means known to one skilled in the art. For example, the protein may be made by recombinant expression from a nucleic acid, such as a plasmid or vector comprising the encoding nucleic acid, wherein the plasmid or vector is in a suitable host cell, i.e. a host-vector system for the production of the polypeptide of interest. A suitable vector may be made which comprises suitable regulatory sequences, such as enhancers and promoters. The host cell may be of any type, including but not limited to mammalian, bacteria and yeast cells. Suitable bacterial cells include E.coli cells. Suitable mammalian cells include but are not limited to human embryonic kidney (HEK) 293T cells, HeLa cells, NIH 3T3 cells Chinese hamster ovary (CHO) cells and Cos cells.

This invention provides a method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse

-56-

transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the p51 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide; b) determining the level of activity of the reporter gene in the cell in the presence of the compound; and c) comparing the level of activity of the reporter gene determined in step (b) with the level of activity of the reporter gene in the absence of the compound, wherein a decreased level of activity of the reporter gene indicates that the compound is an inhibitor of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.

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In an embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the N-terminal amino acid sequence of the full length bacterial protein LexA and the fusion protein expressed by the second plasmid

30

-57-

further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid position 881 to one end of an influenza hemagglutinin (HA) epitope tag, wherein the p51 subunit polypeptide is fused to the second end of the influenza HA epitope tag.

In another embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the N-terminal amino acid sequence of the full length bacterial protein LexA and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In still another embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids 1-87 of the LexA DNA binding domain fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 87 and

-58-

the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid position 881 to one end of an influenza hemagglutinin (HA) epitope tag, wherein the p51 subunit polypeptide is fused to the second end of the influenza HA epitope tag.

In a further embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids 1-87 of the LexA DNA binding domain fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 87 and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In a still further embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA protein fused at amino acid position 202 to a first end of a six alanine linker, wherein the p66 subunit polypeptide is fused at amino acid 1 to the second end of the

-59-

six alanine linker and the fusion protein expressed by the second plasmid expresses further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide
5 of HIV-1 reverse transcriptase.

In yet another embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of
10 HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA protein fused at amino acid position 202 to one end of a six alanine linker and the p66 subunit polypeptide
15 fused at amino acid 1 to the other end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD wherein said C-terminal sequence is fused at amino acid position 881 to one
20 end of an influenza hemagglutinin (HA) epitope tag and the p51 subunit polypeptide is fused at the other end of the HA epitope tag.

In another embodiment of the above-described method of
25 testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions
30 768-881 of the C-terminal amino acid sequence of Gal4AD fused

-60-

to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by second plasmid further comprises full length LexA bacterial protein LexA fused at amino acid 1 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

10

In a further embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises the LexA DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In a further embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of

30

-61-

HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises the GAL4 DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In still another embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid position 881 to the p66 subunit polypeptide of HIV-1 reverse transcriptase, and the fusion protein expressed by second plasmid further comprises full length LexA bacterial protein LexA fused at amino acid position 1 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In another embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of

-62-

formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions
5 768-881 of the C-terminal amino acid sequence of Gal4AD fused at amino acid position 881 to the p66 subunit polypeptide of HIV-1 reverse transcriptase and the fusion protein expressed by the second plasmid further comprises the LexA DNA binding domain fused to amino acid position 1 of the p51 subunit
10 polypeptide of HIV-1 reverse transcriptase.

In a further embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a p66 subunit polypeptide of
15 HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at amino acid position 881 to the p66 subunit polypeptide of
20 HIV-1 reverse transcriptase and the fusion protein expressed by the second plasmid further comprises the GAL4 DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

25 One of skill will readily be able to make or use the plasmids described herein using the known nucleic acid sequence for HIV-1 reverse transcriptase, and the p66 subunit polypeptide thereof or the p51 subunit polypeptide thereof which may be comprised in expression vectors made by one of ordinary skill
30 in the art; and make or purchase the vectors used herein

-63-

comprising the full length LexA protein or truncated portions thereof, i.e. the lexA DNA binding domain, the GAL4 DNA binding domain, and GAL4 activation domain (GAL4AD) and with an HA epitope tag between the (GAL4AD) and the polylinker.

5

The inhibitors determined by the above-described methods are useful for the preparation of drugs, as pharmaceutical compositions, which will block complex formation between the p66 subunit polypeptide of HIV-1 reverse transcriptase and
10 the p51 subunit polypeptide of HIV-1 reverse transcriptase so as to kill the HIV-1 virus or render it inactive or incapable of infecting cells of a subject, including a human subject.

15 This invention also provides a method of making a pharmaceutical composition comprising an inhibitor of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises:
20 a) determining whether a compound is an inhibitor of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase according to a method which comprises: i) contacting a yeast cell
25 cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the p51 subunit polypeptide of HIV-1 reverse transcriptase with the
30 compound wherein the cell further comprises a reporter gene

-64-

which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide; ii) determining the level of activity of the reporter gene in the cell in the presence of the compound; and iii) comparing the level of activity of the reporter gene determined in step 5 (ii) with the level of activity of the reporter gene in the absence of the compound, wherein a decreased level of activity of the reporter gene indicates that the compound is an inhibitor of the formation of the complex between the p51 10 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase; and b) admixing the compound determined to be the inhibitor in step (a) (iii) with a pharmaceutically acceptable carrier. Any of the above-described methods to determine whether a 15 compound is an inhibitor of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase may be used in the method of making a pharmaceutical composition comprising the determined 20 inhibitor compound, but is not limited thereto, since one of skill will readily be able to substitute well known reporter genes for the reporter genes used in the examples herein. Moreover, one of skill is not limited to using the yeast cells exemplified in any of the above-described methods 25 herein, but may modify the methods to use other eukaryotic cells, mammalian cells or cell lines such as 298 T cells.

This invention further provides a method of testing a compound to determine whether it is an activator of formation 30 of a complex between a p66 subunit polypeptide of HIV-1

-65-

reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the p51 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide; b) determining the level of activity of the reporter gene in the cell in the presence of the compound; and c) comparing the level of activity of the reporter gene determined in step (b) with the level of activity of the reporter gene in the absence of the compound, wherein an increased level of activity of the reporter gene indicates that the compound is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.

In an embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the N-terminal amino acid sequence of the full length bacterial protein LexA

-66-

and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid
5 position 881 to one end of an influenza hemagglutinin (HA) epitope tag, wherein the p51 subunit polypeptide is fused to the second end of the influenza HA epitope tag.

In another embodiment of the above-described method of
10 testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial
15 protein LexA fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the N-terminal amino acid sequence of the full length bacterial protein LexA and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of
20 the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In a further embodiment of the above-described method of
25 testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids 1-87 of the
30 LexA DNA binding domain fused to the p66 subunit polypeptide

-67-

of HIV-1 reverse transcriptase at amino acid position 87 and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid position 881 to one end of an influenza hemagglutinin (HA) epitope tag, wherein the p51 subunit polypeptide is fused to the second end of the influenza HA epitope tag.

10 In another embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids 1-87 of the LexA DNA binding domain fused to the p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 87 and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In a still further embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA protein fused at amino acid position 202 to a first end of a six alanine linker, wherein the p66 subunit

-68-

polypeptide is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by the second plasmid expresses further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at position 881 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In another embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA protein fused at amino acid position 202 to one end of a six alanine linker and the p66 subunit polypeptide fused at amino acid 1 to the other end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD wherein said C-terminal sequence is fused at amino acid position 881 to one end of an influenza hemagglutinin (HA) epitope tag and the p51 subunit polypeptide is fused at the other end of the HA epitope tag.

In a further embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions

-69-

768-881 of the C-terminal amino acid sequence of Gal4AD fused to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by second plasmid further comprises full length LexA bacterial protein LexA fused at amino acid 1 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In another embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises the LexA DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In still another embodiment of the above-described method of testing a compound to determine whether it is an activator of

-70-

formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions
5 768-881 of the C-terminal amino acid sequence of Gal4AD fused to a first end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the p66 subunit polypeptide of HIV-1 reverse transcriptase is fused
10 at amino acid 1 to the second end of the six alanine linker and the fusion protein expressed by the second plasmid further comprises the GAL4 DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

15

In a further embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of
20 HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD, wherein the C-terminal amino acid sequence of Gal4AD is fused at amino acid position 881 to the p66 subunit polypeptide of
25 HIV-1 reverse transcriptase, and the fusion protein expressed by second plasmid further comprises full length LexA bacterial protein LexA fused at amino acid position 1 to the p51 subunit polypeptide of HIV-1 reverse transcriptase.

30 In another embodiment of the above-described method of

-71-

testing a compound to determine whether it is an activator of formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by
5 the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused at amino acid position 881 to the p66 subunit polypeptide of HIV-1 reverse transcriptase and the fusion protein expressed by the second plasmid further comprises the LexA DNA binding
10 domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

In a further embodiment of the above-described method of testing a compound to determine whether it is an activator of
15 formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused
20 at amino acid position 881 to the p66 subunit polypeptide of HIV-1 reverse transcriptase and the fusion protein expressed by the second plasmid further comprises the GAL4 DNA binding domain fused to amino acid position 1 of the p51 subunit polypeptide of HIV-1 reverse transcriptase.

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The activators determined by the above-described methods are useful for the preparation of drugs, as pharmaceutical compositions, which will enhance complex formation prematurely or inappropriately between the p66 subunit
30 polypeptide of HIV-1 reverse transcriptase and the p51

-72-

subunit polypeptide of HIV-1 reverse transcriptase so as to kill the HIV-1 virus or render the the HIV-1 virus inactive or incapable of infecting cells of a subject, i.e. lack the functions of an infected HIV-1 virus, including human
5 subjects.

This invention also provides a method of making a pharmaceutical composition comprising an activator of the formation of the complex between the p66 subunit polypeptide
10 of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises:
a) determining whether a compound is an activator of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit
15 polypeptide of HIV-1 reverse transcriptase according to a method which comprises: i) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which
20 expresses in the cell a fusion protein comprising the p51 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide; ii)
25 determining the level of activity of the reporter gene in the cell in the presence of the compound; and iii) comparing the level of activity of the reporter gene determined in step (ii) with the level of activity of the reporter gene in the absence of the compound, wherein an increased level of
30 activity of the reporter gene indicates that the compound is

-73-

an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase; and b) admixing the compound determined to be the activator in step (a)(iii) with a pharmaceutically acceptable carrier. Any of the above-described methods to determine whether a compound is an activator of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase may be used in the method of making a pharmaceutical composition comprising the determined activator compound, but is not limited thereto, since one of skill will readily be able to substitute well known reporter genes for the reporter genes used in the examples herein. Moreover, one of skill is not limited to using the yeast cells exemplified in any of the above-described methods herein, but may modify the methods to use other eukaryotic cells, mammalian cells or cell lines such as 298 T cells.

This invention further provides a method of testing a compound to determine whether it is an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the second p66 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell

-74-

further comprises a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide; b) determining the level of activity of the reporter gene in the cell in the presence of the compound; and c) comparing the level of activity of the reporter gene determined in step (b) with the level of activity of the reporter gene in the absence of the compound, wherein a decreased level of activity of the reporter gene indicates that the compound is an inhibitor of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

In an embodiment of the above-described method of testing a compound to determine whether it is an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA fused to the first p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the N-terminal amino acid sequence of the full length bacterial protein LexA and the fusion protein expressed by second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused to one end of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the second p66 subunit polypeptide of HIV-1 reverse transcriptase is fused

-75-

at the second end of the six alanine linker.

This invention also provides a method of making a pharmaceutical composition comprising an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) determining whether a compound is an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase according to a method which comprises: i) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the second p66 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide; ii) determining the level of activity of the reporter gene in the cell in the presence of the compound; and iii) comparing the level of activity of the reporter gene determined in step (ii) with the level of activity of the reporter gene in the absence of the compound, wherein a decreased level of activity of the reporter gene indicates that the compound is an inhibitor of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse

-76-

transcriptase; and b) admixing the compound determined to be the inhibitor in step (a)(iii) with a pharmaceutically acceptable carrier. Any of the above-described methods to determine whether a compound is an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase may be used in the method of making a pharmaceutical composition comprising the determined inhibitor compound, but is not limited thereto, since one of skill will readily be able to substitute well known reporter genes for the reporter genes used in the examples herein. Moreover, one of skill is not limited to using the yeast cells exemplified in any of the above-described methods herein, but may modify the methods to use other eukaryotic cells, mammalian cells or cell lines such as 298 T cells.

The inhibitors determined by the above-described methods are useful for the preparation of drugs, as pharmaceutical compositions, which will block complex formation between the first p66 subunit polypeptide of HIV-1 reverse transcriptase (homodimer) and the second p66 subunit polypeptide of HIV-1 reverse transcriptase (homodimer so as to kill the HIV-1 virus (as well as formation of a complex between the p66 subunit polypeptide of HIV-1 RT and the p51 subunit polypeptide of HIV-1 RT) or render it inactive or incapable of infecting cells of a subject, including a human subject.

This invention still further provides a method of testing a compound to determine whether it is an activator of formation of a complex between a first p66 subunit polypeptide of HIV-1

-77-

reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second plasmid which expresses in the cell a fusion protein comprising the second p66 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide; b) determining the level of activity of the reporter gene in the cell in the presence of the compound; and c) comparing the level of activity of the reporter gene determined in step (b) with the level of activity of the reporter gene in the absence of the compound, wherein a increased level of activity of the reporter gene indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

In an embodiment of the above-described method of testing a compound to determine whether it is an activator of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, the fusion protein expressed by the first plasmid further comprises full length bacterial protein LexA fused to the first p66 subunit polypeptide of HIV-1 reverse transcriptase at amino acid position 1 of the

-78-

N-terminal amino acid sequence of the full length bacterial protein LexA and the fusion protein expressed by second plasmid further comprises amino acids at positions 768-881 of the C-terminal amino acid sequence of Gal4AD fused to one end
5 of an influenza hemagglutinin (HA) epitope and a six alanine linker fused at a first end to the second end of the influenza hemagglutinin (HA) epitope, wherein the second p66 subunit polypeptide of HIV-1 reverse transcriptase is fused at the second end of the six alanine linker.

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The activators determined by the above-described methods are useful for the preparation of drugs, as pharmaceutical compositions, which will enhance complex formation prematurely or inappropriately between the first p66 subunit
15 polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase so as to kill the HIV-1 virus or render the the HIV-1 virus inactive or incapable of infecting cells of a subject, i.e. lack the functions of an infected HIV-1 virus, including human
20 subjects.

This invention also provides a method of making a pharmaceutical composition comprising an activator of formation of a complex between a first p66 subunit
25 polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises: a) determining whether a compound is an activator of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66
30 subunit polypeptide of HIV-1 reverse transcriptase according

-79-

to a method which comprises: i) contacting a yeast cell cotransformed with a first plasmid which expresses in the cell a fusion protein comprising the first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second
5 plasmid which expresses in the cell a fusion protein comprising the second p66 subunit polypeptide of HIV-1 reverse transcriptase with the compound wherein the cell further comprises a reporter gene which is activated in the presence of a complex between the first p66 subunit
10 polypeptide and the second p66 subunit polypeptide; ii) determining the level of activity of the reporter gene in the cell in the presence of the compound; and iii) comparing the level of activity of the reporter gene determined in step (ii) with the level of activity of the reporter gene in the
15 absence of the compound, wherein a increased level of activity of the reporter gene indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse
20 transcriptase; and b) admixing the compound determined to be the activator in step (a)(iii) with a pharmaceutically acceptable carrier. Any of the above-described methods to determine whether a compound is an activator of formation of a complex between a first p66 subunit polypeptide of HIV-1
25 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase may be used in the method of making a pharmaceutical composition comprising the determined activator compound, but is not limited thereto, since one of skill will readily be able to substitute well known reporter
30 genes for the reporter genes used in the examples herein.

-80-

Moreover, one of skill is not limited to using the yeast cells exemplified in any of the above-described methods herein, but may modify the methods to use other eukaryotic cells, mammalian cells or cell lines such as 298 T cells.

5

Methods of treating a subject infected with HIV-1 include administering any of the above-described pharmaceutical compositions comprising: an inhibitor of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase; an activator of the formation of the complex between the p66 subunit polypeptide of HIV-1 reverse transcriptase and the p51 subunit polypeptide of HIV-1 reverse transcriptase; an inhibitor of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase; and/or an activator of formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase. One of skill will recognize that other pharmaceutical compositions may be administered to a subject infected with HIV-1 in conjunction with the pharmaceutical compositions provided by the methods set forth herein.

25 The invention also provides a pharmaceutical composition comprising an effective amount of any of the above-described inhibitors and a pharmaceutically acceptable carrier. The invention also provides a pharmaceutical composition comprising an effective amount of any of the above-described
30 activators and a pharmaceutically acceptable carrier. In the

-81-

subject invention an "effective amount" is any amount of an inhibitor or activator which, when administered to a subject suffering from a disease or abnormality against which the inhibitors or activators are effective, causes reduction, remission, or regression of the disease or abnormality. In the practice of this invention the "pharmaceutically acceptable carrier" is any physiological carrier known to those of ordinary skill in the art useful in formulating pharmaceutical compositions.

10

In one preferred embodiment the pharmaceutical carrier may be a liquid and the pharmaceutical composition would be in the form of a solution. In another equally preferred embodiment, the pharmaceutically acceptable carrier is a solid and the composition is in the form of a powder or tablet. In a further embodiment, the pharmaceutical carrier is a gel and the composition is in the form of a suppository or cream. In a further embodiment the compound or composition may be formulated as a part of a pharmaceutically acceptable transdermal patch.

20

A solid carrier can include one or more substances which may also act as flavoring agents, lubricants, solubilizers, suspending agents, fillers, glidants, compression aids, binders or tablet-disintegrating agents; it can also be an encapsulating material. In powders, the carrier is a finely divided solid which is in admixture with the finely divided active ingredient. In tablets, the active ingredient is mixed with a carrier having the necessary compression properties in suitable proportions and compacted in the shape

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-82-

and size desired. The powders and tablets preferably contain up to 99% of the active ingredient. Suitable solid carriers include, for example, calcium phosphate, magnesium stearate, talc, sugars, lactose, dextrin, starch, gelatin, cellulose, 5 polyvinylpyrrolidone, low melting waxes and ion exchange resins.

Liquid carriers are used in preparing solutions, suspensions, emulsions, syrups, elixirs and pressurized compositions. The 10 active ingredient can be dissolved or suspended in a pharmaceutically acceptable liquid carrier such as water, an organic solvent, a mixture of both or pharmaceutically acceptable oils or fats. The liquid carrier can contain other suitable pharmaceutical additives such as solubilizers, 15 emulsifiers, buffers, preservatives, sweeteners, flavoring agents, suspending agents, thickening agents, colors, viscosity regulators, stabilizers or osmo-regulators. Suitable examples of liquid carriers for oral and parenteral administration include water (partially containing additives 20 as above, e.g. cellulose derivatives, preferably sodium carboxymethyl cellulose solution), alcohols (including monohydric alcohols and polyhydric alcohols, e.g. glycols) and their derivatives, and oils (e.g. fractionated coconut oil and arachis oil). For parenteral administration, the 25 carrier can also be an oily ester such as ethyl oleate and isopropyl myristate. Sterile liquid carriers are useful in sterile liquid form compositions for parenteral administration. The liquid carrier for pressurized compositions can be halogenated hydrocarbon or other 30 pharmaceutically acceptable propellant.

-83-

Liquid pharmaceutical compositions which are sterile solutions or suspensions can be utilized by for example, intramuscular, intrathecal, epidural, intraperitoneal or subcutaneous injection. Sterile solutions can also be
5 administered intravenously. The compounds may be prepared as a sterile solid composition which may be dissolved or suspended at the time of administration using sterile water, saline, or other appropriate sterile injectable medium. Carriers are intended to include necessary and inert binders,
10 suspending agents, lubricants, flavorants, sweeteners, preservatives, dyes, and coatings.

The inhibitor(s) or activator(s) determined by the methods described above can be administered orally in the form of a
15 sterile solution or suspension containing other solutes or suspending agents, for example, enough saline or glucose to make the solution isotonic, bile salts, acacia, gelatin, sorbitan monoleate, polysorbate 80 (oleate esters of sorbitol and its anhydrides copolymerized with ethylene oxide) and the
20 like.

The inhibitor(s) or activator(s) can also be administered orally either in liquid or solid composition form. Compositions suitable for oral administration include solid
25 forms, such as pills, capsules, granules, tablets, and powders, and liquid forms, such as solutions, syrups, elixirs, and suspensions. Forms useful for parenteral administration include sterile solutions, emulsions, and suspensions.

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- 84 -

Optimal dosages to be administered may be determined by those skilled in the art, and will vary with the particular inhibitor(s) or activator(s) in use, the strength of the preparation, the mode of administration, and the advancement
5 of the disease condition or abnormality. Additional factors depending on the particular subject being treated will result in a need to adjust dosages, including subject age, weight, gender, diet, and time of administration.

10 This invention will be better understood from the Experimental Details that follow. However, one skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described more fully in the claims that follow thereafter.

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-85-

EXPERIMENTAL DETAILS

FIRST SERIES OF EXPERIMENTS

5 Materials and Methods

Bacterial and Yeast Strains

Saccharomyces cerevisiae strain CTY10-5d (MATa ade2 trp1-901 leu2-3, 112 his3-200 gal4-gal80-URA3::lexA-lacZ) contains an integrated GAL1-lacZ gene with the lexA operator (a gift from Stanley Fields, State University of New York, Stony Brook). The yeast strain HF7c contains CYC1-lacZ gene with three copies of the GAL4 responsive UASG 17-mer operator (CLONTECH). Escherichia coli mutator strain XL1-Red (Stratagene) was used for random mutagenesis whereas XL1-Blue (Stratagene) was used to amplify the mutated library. KC8 (CLONTECH), an auxotrophic leuB, trpC and hisB E. coli strain, was used to isolate plasmids from yeast. E.coli strains M15 and BL21 were used to express p66-His and glutathione S-transferase-tagged p51 (GST-p51) respectively (see below).

Yeast Methods

Transformation of yeast and the qualitative β -galactosidase (β -gal) colony lift assay were as published (19). Quantification of protein-protein interactions was determined using the β -gal liquid assay performed on permeabilized yeast grown from three independent transformants using orthonitrophenyl- β -D-galactopyranoside as substrate (19).

30 Protein Expression and RT Activity

-86-

Fusion protein expression in yeast was determined by Western blot of lysates with Gal4AD polyclonal antibodies (Upstate Biotechnology, Lake Placid, NY), anti-lexA polyclonal antibodies (Invitrogen) and HIV-1 RT polyclonal (Intracel, 5 Cambridge, MA) or 5B2 monoclonal antibody (20). Immunodetection was with ECL-Plus (Amersham). To measure RT activity, yeast lysates were prepared by glass bead disruption (19) and enzyme activity was determined in exogenous assays (21) and quantified by phosphoimager 10 analysis.

Yeast Shuttle Vectors

pSH2-1 (22) and pLex202-PL (23) express the lexA DNA binding domain (lexA₈₇) and the full-length lexA protein (lexA₂₀₂), 15 respectively. pGBT9 and pAS2-1, both containing the GAL4 DNA binding domain (GAL4 BD), were purchased from CLONTECH. pNLexA allows expression of proteins fused to the N terminus of full-length lexA₂₀₂ (OriGene Technologies, Rockville, MD). pGADNOT (18) and pACTII (24) allow expression of proteins 20 fused to the Gal4 activation domain (GAL4 AD). pACTII also contains the influenza hemagglutinin (HA) epitope tag located between GAL4AD and the polylinker.

Construction of HIV-1 RT Fusions in Yeast Vectors

25 Constructs and expressed fusion proteins are as described in Fig. 1. The RT sequence for constructing the following expression vectors was amplified from HIV-1 molecular clone pNLenv-1 (containing the HIVNL43 sequence) (25). The p66 amplimers were cloned into the BamHI-SalI sites of pGBT9, 30 pSH2-1, pLex202-PL, pACTII and pGADNOT; the BamHI-XhoI sites

-87-

of pACTII; and the EcoRI-BamHI sites of pNLexA. p51
amplimers were cloned into the BamHI-SalI sites of these
vectors except for cloning into pACTII, where the BamHI-XhoI
sites were used. The HXB2 RT sequence from pHXB2gpt (26) was
5 used to construct p66HXAlaLex202 and p51HXGADNOT.

Construction of HIV-1 RT Deletion Mutants

All p66 deletion mutants were prepared by cloning PCR
amplimers into the BamHI-SalI sites of pSH2-1. Fingers,
10 palm, connection, thumb and RNase H domains of HIV-1 RT are
denoted F, P, C, T and R respectively. pT+C+RSH2-1 (encoding
lexA₈₇-T+C+R) contains RT (from HIVNL43) codons 236-560.
pC+RSH2-1 (encoding lexA₈₇-C+R) contains codons 322-560 while
pRSH2-1 (encoding lexA₈₇-R) comprises codons 425-560. All p51
15 deletion mutants were prepared by cloning of PCR amplimers
into the BamHI-XhoI sites of pACTII. pF+P+T-ACTII (encoding
Gal4AD-HA-F+P+T) includes RT codons 1-325 and pF+P-ACTII
(encoding Gal4AD-HA-F+P) has codons 1-244. p51Δ13ACTII
(encoding Gal4AD-HA-51Δ13) contains RT codons 1-426.
20 p51Δ26GADNOT (encoding Gal4AD-51Δ26) was obtained by random
mutagenesis of p51GADNOT in XL1-Red.

Construction of RT fusions with the L234A Mutation and Random Mutagenesis of p66Ala234Lex202 and Selection of Revertants

25 p66Ala234Lex202 (encoding lexA₂₀₂-Ala-66L234A) was made by
inserting p66 from p6HprotL234A (a gift from Vinayaka Prasad,
Albert Einstein College of Medicine, Bronx NY) into the
BamHI/SalI sites of pLex202-PL. p51234GADNOT (encoding
30 Gal4AD-51L234A) was made by insertion of p51 from
p6HprotL234A into the BamHI-SalI sites of pGADNOT.

-88-

Second-site mutations restoring dimerization to
lexA₂₀₂-Ala-66L234A were generated by propagation of
p66Ala234Lex202 in XL1-Red (Stratagene). Two independent
pools were prepared. CTY10-5d was cotransformed with the
5 mutagenized library and either p51234GADNOT or p51GADNOT.
Blue colonies were picked from β -gal colony lift assays and
clonally purified. p66 DNA from isolated plasmids were
recloned into a nonmutated pLex202-PL backbone and
reintroduced into CTY10-5d to confirm the phenotype.
10 Mutations present in p66 were determined by automated
nucleotide sequencing.

Site directed mutagenesis

p66 with genotype D110G was prepared from a p66 clone
15 containing both D110G and L234A obtained by random
mutagenesis by backmutation of codon 234 to wild-type. p66
with either the W402R or W406R substitutions were prepared by
subcloning a Bsp1286I-SalI fragment (600 bp) from the clones
obtained by random mutagenesis of L234A with wild-type
20 BamHI-Bsp1286I fragment (1,080 bp) from p66HXAlaLex202 into
pLex202-PL.

In vitro heterodimerization

Plasmids expressing wild-type and p66 mutants with a
25 histidine tag at the C-terminus (p66-His) were constructed
by cloning the p66 coding region into the SphI -BglII site
of pQE-70 (Qiagen, Chatsworth, CA). The C-terminal tag was
appended as described previously [clone 3 (27)]. Glutathione
S-transferase tagged p51 (GST-p51) was prepared by subcloning
30 the BamHI-SalI fragment from p51HXGADNOT into pGEX5X-3

-89-

(Amersham Pharmacia). Cells were induced and then lysed by the addition of 1 mg/ml of lysozyme to 1 ml of lysis buffer [50 mM sodium phosphate buffer (pH 7.8), 500 mM NaCl, 0.5% Nonidet P-40, 5 mM DTT, and 1 μ g/ml each of pepstatin A, 5 aprotinin and leupeptin] and clarified. Lysates were combined and incubated for 16 hrs at 4°C. The heterodimer was captured on Glutathione Sepharose 4B beads and unbound subunits were removed by washing with lysis buffer. Heterodimer bound to beads were resolved by SDS/PAGE. For 10 quantification of RT activity, dimers were eluted from beads with 10 mM reduced glutathione in 50 mM Tris (pH 8.0). Samples were assayed for DNA polymerase activity on homopolymeric template-primers for various times, and the activity was determined from the initial slope of the linear 15 phase of the time course. Western blot confirmed equal recovery of GST-51 protein in each sample.

RESULTS

Expression of RT Fusion Proteins and RT Activity

20 The stable expression of p66 was tested in several contexts, as either Gal4BD or LexA fusions, and using a six alanine linker to separate p66 from its fusion partner. p66 fused to the C terminus of lexA₈₇, the C or N termini of lexA₂₀₂ (with or without a six alanine spacer), and in a variety of 25 contexts to the Gal4AD were all stably expressed (Fig. 1). In contrast, p66 fused to the C terminus of the Gal4BD (Gal4BD-66) was not expressed in yeast at detectable levels (Fig. 1). The smaller RT subunit, p51, was well expressed as fusions with the Gal4BD, Gal4AD, and both lexA₈₇ and lexA₂₀₂.

- 90 -

We examined whether the bait fusions encoded by p66SH2-1, p66AlaLex202 and p66NLexA exhibited RT activity in yeast. All three fusion proteins demonstrated high levels of RT activity compared with protein lysates from yeast transformed with an empty vector (data not shown). These data suggest that the p66 fusion proteins are functional and in a conformation consistent with measurable catalytic activity.

10 Heteromeric Interactions of p66 and p51 by Transactivation in the Two-Hybrid System

To test whether the Y2H system could detect the interaction of the p66/p51 heterodimer, we cotransformed yeast reporter strains with plasmids expressing p66DNA BD and p51DNA AD fusion proteins (Table 1). β -gal activity expressed in yeast, which indicates the strength of the interaction between the fusion proteins, was assessed by both qualitative and quantitative assays. The p66 bait fusions expressed from p66SH2-1, p66AlaLex202 and p66NLexA interacted with Gal4AD-p51 domain fusions (Table 1) but not with Gal4AD alone (Table 1). The strongest interactions were observed with p66 baits lexA₂₀₂-Ala-66 and 66-lexA₂₀₂. Moreover, p51 expressed in pACTII gave a stronger signal than p51GADNOT when coexpressed with p66 fusion baits. Despite the stable expression of the p66 fusion protein, lexA₂₀₂-66, no significant interaction with p51 was detected (Fig.1). Moreover, lexA₂₀₂-66 yielded the same weak signal with the empty Gal4AD vector, pGADNOT, indicating that this version of p66 is weakly self-activating even without a partner.

-91-

Table 1 Interaction of p66 binding domain fusions with p51 activation domain fusions in the Y2H system

| | Constructs | Operator | Colony* | <u>β-gal activity</u> | |
|----|--------------------------|------------------|---------|-----------------------|------|
| | | | | Liquid† | |
| 5 | p66SH2-1 + pGADNOT | lexA | - | | ND |
| | p66SH2-1 + pACTII | lexA | - | | 0.02 |
| | p66SH2-1 + p51GADNOT | lexA | ++ | | 0.5 |
| | p66SH2-1 + p51ACTII | lexA | +++ | | 3.5 |
| 10 | p66AlaLex202 + pGADNOT | lexA | - | | ND |
| | p66AlaLex202 + pACTII | lexA | - | | 0.04 |
| | p66AlaLex202 + p51GADNOT | lexA | +++ | | 1.6 |
| | p66AlaLex202 + p51ACTII | lexA | +++ | | 7.7 |
| | p66NLexA + pGADNOT | lexA | - | | 0.06 |
| 15 | p66NLexA + pACTII | lexA | - | | 0.04 |
| | p66NLexA + p51GADNOT | lexA | +++ | | 6.6 |
| | p66NLexA + p51ACTII | lexA | +++ | | 25.0 |
| | p66Lex202 + pGADNOT | lexA | +/- | | ND |
| | p66Lex202 + p51GADNOT | lexA | +/- | | ND |
| 20 | p66GBT9 + pGADNOT‡ | UAS _G | - | | ND |
| | p66GBT9 + p51GADNOT‡ | UAS _G | - | | ND |

Yeast strain CTY10-5d or *HF7c were transformed with plasmids encoding p66 bait and p51 prey fusions. Fusion proteins encoded by plasmids are described in Materials and Methods and Fig. 1.

*Transformants were lifted onto nitrocellulose and subjected to the β-gal colony lift assay to determine intensities of blue color produced; +++, strong blue in 1 h; ++, blue in 1 h; +/-, weak blue in 3 h; -, white; ND, not done.

30

We also showed that heteromeric interactions between p66 and p51 could be detected in the reciprocal configuration with p51 as either a LexA or Gal4BD fusion and p66 as a Gal4AD fusion (Table 2). The demonstration of heteromeric dimerization of p66 and p51 in different contexts strongly suggests that the interaction is specific. Tests for interaction with five unrelated proteins showed no signal (data not shown), providing further evidence for the specificity of RT heterodimerization in yeast.

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-92-

Table 2 Interaction of p51 binding domain fusions with p66 activation domain fusions in the Y2H system

| 5 | Constructs | Operator | <u>β-gal activity</u> | |
|----|-------------------------|------------------|--|---------|
| | | | Colony* | Liquid† |
| | p51SH2-1 + pGADNOT | lexA | - | 0.06 |
| | p51SH2-1 + pACTII | lexA | - | 0.05 |
| | p51SH2-1 + p66AlaACTII | lexA | ++ | 1.2 |
| | p51Lex202 + pACTII | lexA | - | 0.05 |
| 10 | p51Lex202 + p66AlaACTII | lexA | +++ | 3.2 |
| | p51AS2-1 + pACTII‡ | UAS _G | - | ND |
| | p51AS2-1 + p66AlaACTII‡ | UAS _G | ++ | ND |

Yeast strain CTY10-5d or *HF7c were transformed with plasmids encoding p51 bait and p66 prey fusions. Fusion proteins encoded by plasmids are described in Materials and Methods and Fig. 1.

*As defined in Table 1.

†As defined in Table 1.

Homomeric Interactions

The interaction of the RT heterodimer p66/p51 has a dissociation constant of 10^{-9} M, whereas the dissociation constants for the p66 and p51 homodimers are 10^{-6} M and 10^{-5} M, respectively (9). We were unable to detect p51 homodimerization when CTY10-5d was cotransformed with either p51SH2-1 or p51Lex202 baits and p51ACTII prey (data not shown). In contrast, p66 homodimerization could be detected when yeast was cotransformed with p66NlexA bait and p66AlaACTII prey (β -gal activity 0.3 Miller units). p66 homodimerization of these two constructs was 100-fold weaker compared to the interaction of p66NlexA with p51ACTII (Table 1). The strength of the interactions observed in vivo are consistent with biochemical data.

p66 Domains that Interact with p51

We used the Y2H RT dimerization assay to map the regions of p66 required for binding to p51 (Fig. 2). A series of

-93-

mutants with sequential deletions in the polymerase subdomains were prepared as C-terminal fusions with lexA_{87} . Deletion of the fingers and palm subdomains (lexA_{87} -T+C+R) did not significantly affect binding to Gal4AD-HA-51. A further
5 deletion of the thumb subdomain (lexA_{87} -C+R) resulted in reduced β -gal activity (Fig. 2). Expression of the RNase H domain alone was not sufficient for interaction with p51. This lack of interaction was not attributable to an aberrant RNase H conformation, as lexA_{87} -R also interacted as strongly
10 as lexA_{87} -66 with a cellular protein, diaphorase, that we find interacts with the RNase H domain of RT in the Y2H system (results not shown). None of the bait fusions demonstrated activation of the lacZ reporter gene when coexpressed with Gal4AD-HA alone, excluding the possibility of nonspecific
15 self-activation by the bait fusions (results not shown). These data suggest that the connection and RNase H subdomains of p66 are sufficient for interaction with p51.

The C Terminus of p51 is Required for Interaction with p66

20 It has previously been shown biochemically that deletion of as little as 25 amino acids from the C terminus of p51 can prevent dimerization to p66 (15). To ascertain whether this effect could be observed under physiological conditions in the Y2H system, we constructed a series of C-terminal
25 deletion mutants of p51 prey fusions and assayed interaction with p66 bait. Deletion of 13 amino acids from the C terminus of p51 had little effect (1.8-fold decrease) on dimerization with p66 (Fig. 3). However, deletions of 26 amino acids and greater abrogated RT dimerization, indicating
30 the importance of the C-terminal 26 amino acids of p51 in

-94-

these interactions. These results also suggest that the system faithfully recapitulates the behavior of the enzyme as studied in vitro.

5 L234A in p66 Subunit Inhibits RT Dimerization

The Y2H RT dimerization assay would be most useful if it could be applied to the analysis of single amino acid substitutions that affect heteromeric interactions. To test the system, we introduced the L234A primer grip mutation, previously shown biochemically to inhibit p66/p51 association (10), into both RT subunits. The presence of L234A in both p66 and p51 totally inhibited RT dimerization as observed by a 53-fold decrease in the β -gal signal compared to wild-type proteins (Fig. 4). To assess the effect of L234A in individual subunits CTY10d-5 was cotransformed with constructs expressing either p66 mutant bait and wild-type p51 prey, or p66 wild-type bait with p51 mutant prey. Less than a two-fold decrease in the signal compared with wild-type fusions was observed when the L234A mutant p51 (Gal4AD-51L234A) was coexpressed with the wild-type fusion lexA₂₀₂-Ala-66 (Fig. 4). However, a 32-fold inhibition was observed for the interaction of the mutant lex₂₀₂-Ala-66L234A with wild-type Gal4AD-51. These data suggest that L234A affects dimerization predominantly through p66, as has been previously reported (10). Analysis of fusion protein expression in yeast by Western blot analysis revealed that all fusion proteins, including the L234A mutants, were stably expressed (results not shown).

30 Second-Site Mutations that Restore Heterodimerization and RT Activity to the p66L234A Mutant

-95-

To gain insight into the mechanism of inhibition of RT dimerization by L234A, we attempted to select for second-site suppressor mutations in p66 that restore dimerization with p51. To select for p66 mutants with restored dimerization, CTY10-5d was cotransformed with a library generated by mutagenesis of p66AlaL234ALex202 and a plasmid expressing either Gal4AD-51 or the Gal4AD-51-L234A mutant. A total of 25,000 colonies from each of two independently mutated libraries were screened. Six and five blue colonies were obtained when lex₂₀₂-Ala-66L234A was cotransformed with Gal4AD-51 and Gal4AD-51-L234A, respectively. CTY10-5d was retransformed with each isolated library plasmid and with either p51HXGADNOT or p51L234AGADNOT; the recovered clones showed restored binding activity with both p51 fusion proteins. Five types of mutations were observed (Table 3). Single amino acid changes in the clones that retained the L234A change included D110G, D186V, W402R and W406R. The remaining three clones had reverted to wild-type at codon 234 (Table 3).

Table 3 Second site mutations in lexA₂₀₂66HXL234A that restore dimerization to p51

| | Constructs | No. of Clones | <u>β-gal activity</u> | |
|----|--------------|---------------|-----------------------|---------------------|
| | | | Colony ^a | Liquid ^b |
| | Wild Type | NA | +++ | 3.2 |
| | L234A | NA | - | 0.1 |
| | D110G | NA | +++ | 6.9 |
| 30 | W402R | NA | +++ | 7.1 |
| | W406R | NA | +++ | 5.8 |
| | L234A; D110G | 3 | +++ | 1.5 |
| | L234A; D186V | 1 | +/- | 0.2 |
| | L234A; W402R | 3 | +++ | 7.1 |
| 35 | L234A; W406R | 1 | +++ | 6.1 |
| | L234 | 3 | +++ | 4.7 |

-96-

Yeast strain CTY10-5d was cotransformed with p51HXGADNOT and various clones expressing *lexA*₂₀₂-Ala-66HX fusions with mutations in p66 as indicated. NA, not applicable.

*As defined in Table 1.

5 *As defined in Table 1.

Two of the changes are at the catalytically essential aspartyl residues D110 and D186. These residues are not located at the dimer interface, and mutations at these
10 residues result in an inactive RT (28)(Fig. 5). A variant p66 containing D110G alone, without L234A, gave a 2-fold stronger β -gal signal than wild-type p66 for heterodimerization and was 4.6-fold stronger compared with
15 of dimerization by D110G suggests that conformational changes at the active site compensate for structural changes mediated by L234A.

The second set of mutations, W402R and W406R, are located at
20 the dimer interface (Fig. 5) in a tryptophan repeat region which is highly conserved among HIV-1, HIV-2 and closely related simian immunodeficiency virus RTs (29). In the L234A genetic background, these mutations resulted in a dramatic increase in the β -gal signal over the parent and yielded a
25 2-fold higher signal for heterodimerization compared with wild-type RT fusions (Table 3). W402R and W406R in a wild-type genetic background had the same enhanced β -gal activity as the restored mutants (Table 3). Therefore, the mutations in the tryptophan repeat motif may enhance the
30 interaction with GAL4AD-51 independently of the L234A mediated defect.

To confirm that the second-site mutations could restore

-97-

heterodimerization to the L234A parent in an alternative assay, we examined the binding of these p66 mutants to p51 in vitro. Bacterial lysates containing GST-p51 or wild-type and mutant p66-His were incubated together, and heterodimers were
5 captured on Glutathione Sepharose 4B beads. As expected, wild-type p66 dimerized with GST-p51 whereas the p66L234A mutant did not (Fig. 6A). Restoration of dimerization by D110G, W402R or W406R in the L234A parent was observed (Fig. 6A), thus confirming our observations in the Y2H assay.

10

To determine whether restoration of heterodimerization was associated with enhanced DNA polymerase activity, heterodimers eluted from beads were assayed for RT activity (Fig 6C). GST-p51 had significant background activity
15 compared with wild-type enzyme. The enzyme resulting from incubation with p66L234A had the same background activity. As expected, heterodimers comprising p66L234A containing the active site mutation D110G also had only background activity. Interestingly, both W402R and W406R mutations not only
20 restored heterodimerization to the L234A parent but also increased RT activity, even above levels of the wild-type control (Fig. 6C).

Discussion

25 In this study we have shown that fusions of p66 and p51 can be stably expressed in yeast and can heterodimerize in reciprocal configurations. The presence of spacers in the form of alanine or an HA tag may have been an important aspect for stronger interactions in the Y2H assay. Moreover,
30 we have validated the Y2H assay by comparing previously

-98-

described effects of p51 deletions and the L234A substitution on heterodimerization. We have also shown how this assay can further the study of the HIV-1 RT structure-function by the identification of second-site mutations that restore RT
5 dimerization.

The palm, connection, and RNase H domains of p66 make major contacts with p51. An indication that the palm region is important is the destabilization of the p66/p51 heterodimer
10 by the nonnucleoside RT inhibitor 2', 5'-bis-O-(tert-butylidimethylsilyl)-3'-spiro-5"-4"-amino-1", 2"-oxothiole-2", 2"-dioxide)]-b-D- pentofuranosyl (TSAO) by its interaction between the palm subdomain of p66 and the β 7- β 8 loop in the fingers subdomain of p51 (30, 31). Preliminary
15 tests of the addition of TSAO to our in vitro binding assays confirm the ability of the drug to reduce heterodimerization (data not shown). Tests of the related drug TSAOe³T showed a more modest destabilization only detectable in the presence of denaturants (31). Deletion mapping of the p66 domains
20 required for interaction with p51 suggests that the presence of the connection and RNase H domains are sufficient for interaction with p51 in the Y2H system. It is surprising that the deletion of the palm domain had little effect on binding to p51 as this p66 subdomain provides a major contact
25 with p51 (9); however, the connection and RNase H domains may provide a sufficient surface for saturating the signal in yeast.

Truncation of the C terminus of p51 revealed that a 13-amino
30 acid deletion had little effect on dimerization with p66, but

-99-

a deletion of 26 amino acids abrogated heterodimerization as seen in the Y2H assay. These data are consistent with previous in vitro studies (15). All C-terminal truncation mutants were stably expressed in yeast, excluding the possibility of decreased expression affecting the signal. It is possible that these C-terminal residues may have a direct role in dimerization; or the deletion of these residues may effect the structural integrity or correct positioning of the structural elements α -L and β -20 (5,15). These elements contain the tryptophan repeat motif, which has been proposed to play an important role in HIV-1 dimerization (29, 32).

We have shown that the L234A substitution inhibits RT dimerization in yeast most dramatically when present on the p66 subunit of HIV-1 RT, as previously seen in vitro (10). L234A is located in the primer grip region of p66 (5) and is highly conserved among avian, primate and murine RTs (33). To help determine the mechanism by which L234A affects heterodimerization, we selected for second-site mutations restoring p66/p51 association. Aside from clones which had reverted to the wild-type L234, we observed two classes of mutants: those with alterations either in the tryptophan repeat or in the polymerase active site (Fig. 5). Both classes of suppressors were also shown to restore binding of the mutant p66 subunit to p51 as measured in an in vitro binding assay (Fig. 6A). L234A is not at the dimer interface, and it has been proposed that it affects dimerization by indirectly affecting contacts between P95 in the palm of p66 with residues in the β 7- β 8 loop of p51 (11). The mutations W402R and W406R are distant from this region, being located

-100-

in the connection subdomain which contacts the p51 connection domain in the heterodimer. The appearance of a basic residue in both codon 402 and 406 suggests a charge interaction with an acidic residue in p51 or alternatively an increase in electrostatic potential between the surfaces at the connection domain interface.

The recovery of second-site suppressor mutations at the catalytically essential aspartyl residues suggests that there is a relationship between dimerization and active site residues. Neither D186V nor D110G make obvious contacts with L234A, although both are in the same palm subdomain (Fig. 5)(2). Interaction between the NNRTI binding site, which includes L234, and the RT catalytic site has been suggested by both structural and enzymatic data explaining the mechanism of resistance to NNRTIs (34, 35). The D110G or D186V changes would probably result in loss of one of the two magnesium ions bound to the active site (36). A loss of chelated magnesium in addition to a glycine change at 110 may lead to increased flexibility in that region, thus affecting dimerization. Determination of the crystal structure of the D110G RT mutant will help resolve these issues.

Heterodimerization of HIV-1 has been suggested as a target for chemotherapeutic intervention (7). To date, there are no HIV-1 RT dimerization inhibitors being used in the clinic. Nevertheless, there are several reports of HIV-1 and HIV-2 RT dimerization inhibitors based on peptides representing the conserved tryptophan repeat region of RT (32, 37). These peptides have been shown to prevent the association of

-101-

p66/p51 (32) and have demonstrable in vitro anti-HIV-1 activity (37). TSAO has been shown to destabilize the p66/p51 heterodimer and may represent a nonpeptide RT dimerization inhibitor (30). In preliminary tests of this
5 drug for its effects on heterodimerization in the Y2H system, we saw no inhibition of β -gal activity (data not shown). However, the possibility that the drug is not taken up by yeast cannot be ruled out. The availability of a Y2H assay for RT dimerization will facilitate the screening for other
10 such inhibitors of this process according to the methods set forth herein.

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-102-

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-107-

SECOND SERIES OF EXPERIMENTS

Nonnucleoside reverse transcriptase inhibitors (NNRTIs) are allosteric inhibitors of the human immunodeficiency virus type 1 (HIV-1) reverse transcriptase (RT). Yeast grown in the presence of many of these drugs exhibited dramatically increased association of the p66 and p51 subunits of the HIV-1 RT as reported by a yeast two-hybrid assay. The enhancement required drug binding by RT; introduction of a drug-resistance mutation into the p66 construct negated the enhancement effect. The drugs could also induce heterodimerization of dimerization defective mutants. Coimmunoprecipitation of RT subunits from yeast lysates confirmed the induction of heterodimer formation by the drugs. In vitro binding studies indicate that NNRTIs can bind tightly to p66 but not p51, and then mediate subsequent heterodimerization. This study demonstrates a novel effect of NNRTIs on the assembly of RT subunits.

The human immunodeficiency virus type 1 (HIV-1) reverse transcriptase (RT) catalyzes the conversion of genomic RNA into double-stranded proviral DNA after cell entry (1), utilizing the RNA- and DNA-dependent polymerase and ribonuclease H (RNase H) activities of the enzyme. The HIV-1 RT is an asymmetric dimer consisting of p66 and p51 polypeptides (2, 3). The p51 subunit contains the identical N-terminal sequences as p66, but lacks the C-terminal RNase H domain. The structure of the HIV-1 RT has been elucidated by X-ray crystallography in several forms including the unliganded enzyme (4), in complex with nonnucleoside reverse transcriptase inhibitors (NNRTIs) (5, 6) and bound to

-108-

template-primer with (7) or without dNTP substrate (8). The polymerase domain of the p66 subunit resembles a right hand and contains the fingers, palm, thumb and connection subdomains, with the latter acting as a tether between the
5 polymerase and RNase H regions (5, 8). Although p51 has the same polymerase domains as p66, the relative orientations of these individual domains differ markedly (5, 8). Structural analysis reveals three major contacts between p66 and p51, with most of the interaction surfaces being hydrophobic (9,
10 10).

NNRTIs are chemically diverse, largely hydrophobic compounds which comprise over 30 different classes (11, 12). NNRTIs do not require intracellular metabolism for activity, are
15 noncompetitive inhibitors of RT activity with respect to dNTP substrate and template/primer, and are relatively noncytotoxic (11). NNRTIs bind to a hydrophobic pocket close to but distinct from the polymerase active site in the p66 subunit (13, 14) and inhibit enzyme activity by mediating
20 allosteric changes in the RT (15, 16). Initial clinical use of NNRTIs as monotherapy and selection of drug-resistant variants in cell culture results in the rapid emergence of highly drug-resistant variants due to single amino acid changes (17, 18) in the NNRTI binding pocket that directly
25 affect drug binding (13, 14). The NNRTIs currently approved for use in highly active antiretroviral therapy include nevirapine (19), delavirdine (20) and efavirenz (21).

We have previously shown that HIV-1 RT heterodimerization can
30 be effectively monitored in the yeast two-hybrid (Y2H) system

-109-

using appropriately engineered constructs (22). We used this system to assess the effect of NNRTIs on the b-galactosidase (b-gal) readout in yeast. Several NNRTIs induced dramatic increases in b-gal activity and this increase was due to enhanced association between the RT subunits as a result of a specific interaction of drug with the p66 subunit. These data document a novel effect of NNRTIs on HIV-1 RT dimerization and demonstrate that these drugs behave in a manner similar to chemical inducers of dimerization (CID), compounds that bind to a target protein and promote an interaction with another protein (23).

Materials and Methods

Antiviral drugs

The drugs used in this study were: carboxanilides UC781, UC10, UC38, UC84 (24, 25), Uniroyal Chemical Ltd (Middlebury, CT); efavirenz (EFV) (21), DuPont Merck (Wilmington Del.); delavirdine (BHAP) (26), Pharmacia and Upjohn (Kalamazoo, Mich); nevirapine (19), Roxanne Laboratories (Redding Conn); HBV 097 (27), Hoechst-Bayer (Frankfurt, Germany); 8-chloro-TIBO (8-Cl-TIBO) (28) and a-APA (29), Janssen Research Foundation (Beerse, Belgium). All drugs were dissolved in dimethyl sulfoxide at a concentration of 10 mg/ml for use in Y2H and in vitro assays.

25

Yeast and bacterial strains and yeast methods

Yeast and bacterial strains were as described previously (22). Transformation of yeast, the qualitative b-gal colony lift assay and the quantitative b-gal liquid assay were as previously described (22).

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-110-

Construction of HIV-1 RT fusions in yeast expression vectors

The construction of p66SH2-1, p51SH2-1, p66GADNOT, p51GADNOT and p51ACTII which express the wild type p66 and p51 fusion proteins *lexA*₈₇₋₆₆, *lexA*₈₇₋₅₁, Gal4AD-66, Gal4AD-51 and Gal4AD-HA-51, respectively were as described previously (22). p66L234ASH2-1 (encoding *lexA*₈₇₋₆₆L234A) was made by cloning the PCR amplification product from the RT region of p66AlaL234ALex202 (22) into the *Bam*HI-*Sal*I sites of pSH2-1. p51234ACTII (encoding Gal4AD-HA-51L234A) was constructed by subcloning the p51 *Bam*HI-*Sal*I fragment from p51234GADNOT (22) into pACTII. p66W401ASH2-1 (encoding *lexA*₈₇₋₆₆W401A), p51W401AACTII (encoding Gal4AD-HA-51W401A) and p51W401AGADNOT (encoding Gal4AD-51W401A) were made by PCR amplification of the RT region from plasmid pALRT-78S(A402) (a gift from John McCoy) and cloned into the *Bam*HI-*Sal*I sites of pSH2-1, pGADNOT or the *Bam*HI-*Xho*I sites of pACTII. p66Y181CSH2-1 containing the Y181C mutation in p66 of the *lexA*₈₇₋₆₆ fusion protein was prepared by site-directed mutagenesis using the Gene Editor Kit (Promega, Madison, WI) according to the manufacturer's protocol.

Construction of HIV-1 RT fusions in bacterial expression vectors

Wild-type and p66 mutants (either L234A or W401A) were cloned into the *Sph*I-*Bgl*II site of pQE-70 (Qiagen, Chatsworth, CA) (22). Glutathione S-transferase-tagged p51 (GST-p51) and mutants containing either the W401A or L234A substitutions were constructed by cloning the p51 encoding fragments into the *Bam*HI-*Sal*I site of pGEX5X-3 (Amersham Pharmacia Biotech)

-111-

(22).

Y2H RT heterodimerization assays for measuring effect of NNRTIs on b-gal activity.

5 CTY10-5d transformed constructs expressing p66 bait and p51 prey fusions were grown overnight to stationary phase in synthetic complete medium without histidine and leucine and containing 2% glucose (SC-His-Leu). 2.5 ml of media with or without drug were inoculated with 0.0125 - 0.25 OD₆₀₀ units of
10 CTY10-5d. Yeast were grown with aeration at 30°C to OD₆₀₀ = 0.5. The equivalent of 1 OD₆₀₀ unit was pelleted for each treatment and subjected to a quantitative b-gal liquid assay.

15 Coimmunoprecipitation of p66 and p51 in yeast lysates.

Cultures (30 ml) containing no drug, efavirenz or UC781 and 0.1 OD₆₀₀ units/ml of CTY10-5d expressing p66 bait and p51 prey fusions were grown in SC-His-Leu to OD₆₀₀ = 0.5 at 30°C. Cells were normalized to 12 OD₆₀₀ units and washed with 10 ml
20 of TE (10 mM Tris pH 7.5; 1 mM EDTA) buffer. Preparation of protein extracts and immunoprecipitation were as previously described (30) except for the use of anti-HA.11 monoclonal antibodies (clone 16B12; Covance, Princeton, NJ) and Protein G-PLUS agarose beads (Santa Cruz Biotechnology; Santa Cruz,
25 CA). Samples were resolved by SDS-PAGE. The lexA₈₇-66 fusion protein was probed using monoclonal antibodies 7E5 which specifically detects p66 (31).

In vitro heterodimerization in the presence of NNRTIs

30 The heterodimerization of bacterially expressed wild-type

-112-

p66-His and GST-p51 (or mutants) was assessed in bacterial lysates as described previously (22). To determine the capacity of efavirenz to bind to a particular RT subunit, 500 μ l reactions in lysis buffer (without NP-40) (22), 5 μ g of p66-His, 5 μ g GST-p51 or no recombinant protein (total protein concentration was 0.8 μ g/ml in each reaction) were incubated overnight at 4°C with increasing concentrations of efavirenz. Lysates were washed 4 times with lysis buffer using a centricon-YM-50 filter device (Millipore Corporation, Bedford, MA) to remove unbound drug. 5 μ g of the corresponding RT subunit was applied to the washed lysates (in 500 μ l) and incubated for 1.5 hr at 4°C. Heterodimers were captured onto beads (22), resolved by SDS/PAGE and detected using RT antibodies (monoclonal antibody 5B2) (31).

15

Results

Enhancement of b-gal activity by NNRTIs

To test the effects of NNRTIs on the association of the RT polypeptides we used a yeast genetic assay that measures RT heterodimerization (22). In this assay yeast expressing the p66 subunit of the HIV-1 RT fused to the C-terminus of lexA₈₇ (lexA₈₇-66) and the p51 subunit fused to the Gal4AD (Gal4AD-51) constitutively interact, resulting in the activation of the expression from an integrated Lac Z reporter gene. To test for the effects of the NNRTIs on this interaction, 10 drugs representing 7 different NNRTI classes were added to the culture medium during growth of the yeast and b-gal levels were determined. Of the 10 NNRTIs tested, 9 demonstrated a dramatic concentration-dependent enhancement

-113-

of b-gal activity compared to cells not treated with drug (Figs 7A and 7B). No significant toxicity, as determined by the growth rate, was observed for the drug concentrations tested compared to untreated controls (results not shown).

5 Efavirenz was the most potent of the compounds, mediating a 40-fold increase in b-gal activity at the highest drug concentration tested (Fig. 7A). The carboxanilide UC781 was the second most potent drug, followed by UC10 and a quinoxaline, HBY 097 (Figs 7A and 7B). The remainder of the

10 NNRTIs were less potent but still displayed 8 - 10 fold increases in b-gal activity at the highest concentrations tested (Figs 7A and 7B). In contrast delaviridine was devoid of b-gal enhancing activity (Fig. 7A).

15 **Enhancement of b-gal activity by NNRTIs is specific for RT heterodimerization**

The specificity of the b-gal enhancement by NNRTIs was investigated. Yeast transformed with the empty vectors pSH2-1 and pGADNOT, which express *lexA₈₇* and Gal4AD, respectively

20 were treated with serial dilutions of the most potent b-gal enhancing drug, efavirenz. We observed no increase in b-gal activity even in the presence of 15 μ M of drug (data not shown). The capacity of efavirenz to enhance b-gal activity of several unrelated protein-protein interaction pairs,

25 including moloney murine leukemia virus reverse transcriptase with elongation factor release factor 1 (M.O., unpublished), was also examined and no enhancement or inhibition of b-gal activity was observed.

30 The Y181C mutation in the p66 subunit of the HIV-1 RT confers

-114-

more than a 100-fold increase in resistance to nevirapine (17). This mutation directly affects drug binding (13, 14). To further establish the specificity of the b-gal enhancement by NNRTIs, Y181C was introduced into the plasmid encoding the
5 lexA₈₇₋₆₆ fusion protein. Yeast were cotransformed with various pairs of plasmids and grown in the presence of nevirapine. The presence of the Y181C change in the p66 bait totally negated the enhancement effect by nevirapine (Fig. 8). In contrast, a significant level of b-gal enhancement
10 was still retained in the presence of efavirenz (results not shown), consistent with the very low level of resistance conferred by Y181C to this drug. These data provide compelling evidence that the b-gal enhancement effect is due to a specific interaction of nevirapine with the p66 subunit
15 of the HIV-1 RT.

NNRTIs can enhance b-gal activity of dimerization defective mutants

Previous studies have shown that the L234A mutation in HIV-1
20 RT abrogates RT dimerization (22, 32). Other studies on the role of the tryptophan repeat motif (codons 398-414), present in the connection subdomains of both subunits, showed that the W401A mutation also diminishes RT dimerization in the Y2H assay (G.T. unpublished data). We investigated the effect of
25 the NNRTIs, efavirenz and UC781 on the b-gal enhancement effect on these dimerization defective RT mutants. Interestingly, yeast treated with efavirenz and expressing the W401A change in one or both subunits showed a dramatic increase in b-gal activity compared to no drug (Fig. 9A). b-
30 gal activity in yeast expressing the W401A mutation in both

-115-

subunits was 100-fold greater in the presence of 1.6 μ M efavirenz as compared with no drug (Fig. 9A). UC781 could also enhance the b-gal activity of yeast expressing W401A in both subunits (results not shown). It should be noted that while the mutants displayed large increases in b-gal activity, the actual activity (displayed on the top of each bar) did not significantly exceed that observed with wild-type RT grown in the presence of efavirenz.

10 The effect of efavirenz on the dimerization defective mutant L234A was also examined. Efavirenz enhanced b-gal activity in yeast expressing RT bait and prey fusions with L234A in one or both subunits (Fig. 9B). The fold increase in b-gal however, was less than with the W401A mutant (Fig. 9A). In contrast, UC781 conferred no enhancement on yeast expressing the L234A mutant (results not shown). As residue 234 is part of the NNRTI binding site (13), and may make contact with UC781 (33) it is possible that UC781 does not bind to the L234A mutant. These data indicate that NNRTIs are also able to suppress the defects in assembly caused by two mutations when they can bind to those mutant enzymes.

Coimmunoprecipitation of heterodimer from yeast lysates shows enhancement of dimer formation in the presence of drug

25 Experiments suggest that neither an increase in steady-state protein levels of bait-prey fusions in yeast nor an increase in nuclear localization of the drug-heterodimer complex explain the observed enhancement of b-gal activity by NNRTIs (results not shown). To directly test for induced heterodimerization by NNRTIs we subjected lysates from yeast

-116-

expressing dimerization defective mutants to coimmunoprecipitation. Yeast expressing both p66 bait and p51 prey fusions containing the W401A mutation (lexA₈₇-66W401A and Gal4AD-HA-51W401A) or the L234A change (lexA₈₇-66L234A and Gal4AD-HA-51L234A) were grown in the presence of efavirenz (1.6 μ M), UC781 (16 μ M) or no drug. Hemagglutinin (HA) antibodies were used to immunoprecipitate the p51 prey and the presence of any bound p66 bait was then detected using anti-p66 specific antibodies. For coimmunoprecipitation of the p66 bait fusions, samples were divided into two with one part processed without added NNRTI while the other was maintained in drug at the same concentration used during growth of yeast. Yeast grown in the absence of drug was also processed without drug or in the presence of 1.6 μ M of efavirenz. A clear increase in the amount of lexA₈₇-66W401A and lexA₈₇-66L234A associated with the p51 prey was observed for yeast grown in the presence of efavirenz compared to no drug (Figs 4A and 4B). Similar experiments with yeast grown in UC781 revealed heterodimer formation for yeast expressing the W401A mutant but not for the L234A mutant; these data corresponding to the levels of b-gal activity in the cells (Figs 4A and 4B).

No significant difference in the amount of coimmunoprecipitated p66 bait in the absence or presence of drug was observed indicating that the heterodimer was stable under the conditions of the assay. Interestingly, there was significantly more heterodimer present in yeast lysates obtained from cells grown in the absence of drug to which efavirenz was added during the coimmunoprecipitation

-117-

procedure for the W401A mutant (Fig. 10A). These data suggest that some heterodimer formation could occur in vitro. Levels of mutant p66 bait and p51 prey fusions present in the original lysate from yeast grown in the absence and presence of drug were similar indicating that the increase in coimmunoprecipitated p66 bait in the presence of drug was not due to increased levels of fusion proteins. It is clear from these experiments that NNRTIs tested do act by inducing heterodimerization of p66 and p51 in the Y2H assay and that the increased dimer formation correlates with the increase in b-gal activity.

Efavirenz enhances the association of wild-type and mutant p66 and p51 in lysates in vitro

To explore whether NNRTIs could enhance dimerization in vitro, bacterial lysates containing either p66-His or GST-p51 were prepared and combined in the presence of increasing concentrations of efavirenz. In the absence of inhibitor a small amount of dimer was present as indicated by detectable amounts of p66-His. A concentration dependent increase in dimer formation was observed in the presence of increasing concentrations of efavirenz (Fig. 11). The enhancement effect of efavirenz on the L234A and W401A mutants was also assessed. Bacterial lysates separately expressing p66L234A-His and GST-p51L234A or p66W401A-His and GST-p51W401A were combined as above and incubated in the presence of increasing concentrations of efavirenz. A significant increase in dimer formation was observed in the presence of a 10-fold molar excess of efavirenz for the W401A mutant (Fig. 11). A 100-fold molar excess of efavirenz over RT was required to induce

-118-

detectable enhancement of dimerization of the L234A mutant (Fig. 11). These data are consistent with the coimmunoprecipitation experiments and indicate that the enhancement of dimerization by efavirenz is due to its
5 specific interaction with the HIV-1 RT and not dependent on the fusion proteins used in the Y2H assay nor on components present in the yeast cells in vivo.

10 Other NNRTIs enhance heterodimerization of RT subunits in vitro

We extended our in vitro study by testing the remaining NNRTIs for their capacity to enhance the dimerization of GST-p51 and p66-His in vitro. Consistent with our Y2H data we observed that efavirenz was the most potent enhancer of
15 dimerization. The relative in vitro potencies of the other NNRTIs correlated well with their b-gal enhancing effect in yeast (Figs 7 and 12). In contrast, UC781 and UC10 were poor dimerization inducers in bacterial lysates compared with their b-gal enhancing activities. The low dimerization
20 enhancement activity of these drugs may be a function of both their poor solubility and the conditions of the in vitro assay (which was performed at 4°C). In contrast, the conditions of the yeast assay, which was carried out at 30°C with agitation, may have facilitated solubilization of UC781
25 and UC10. Interestingly, delavirdine was also inactive in vitro indicating that the lack of effect in yeast was not a result of the inability of this drug to penetrate the cells.

30 Efavirenz enhances heterodimerization by binding to p66-His but not GST-p51

-119-

To help elucidate the mechanism by which efavirenz enhances heterodimerization we assessed whether this drug could bind to either p66-His or GST-p51. Bacterial lysates expressing p66-His, GST-p51 or no recombinant protein were preincubated in the absence or presence of increasing concentrations of efavirenz. Unbound drug was removed from the lysates by a series of washes and the presence of any remaining drug was assayed by the addition of the cognate RT subunit. p66-His and GST-p51 was added to a washed mock bacterial lysate to assess the efficiency of efavirenz removal. When p66-His was preincubated with efavirenz we observed enhancement of dimerization with subsequently added GST-p51 at all drug concentrations (Fig. 13). This enhancement was similar to controls where p66-His and GST-p51 were simultaneously combined with various drug concentrations (Fig. 12). A 100-fold reduction in the potency of heterodimerization compared to p66-His preincubated with efavirenz was observed in the washed mock bacterial lysate (Fig. 13). GST-p51 preincubated with drug, washed and then subjected to the functional heterodimerization assay displayed the same pattern of heterodimerization observed for the drug-treated mock bacterial lysate. These data indicate that efavirenz binds tightly to p66-His but not GST-p51 and that this binding then promotes heterodimerization with subsequent added GST-p51.

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Discussion

This study reports a previously undescribed property of certain NNRTIs - their capacity to enhance heterodimerization of the p66 and p51 subunits of the HIV-1 RT. This effect was observed both in the Y2H system,

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-120-

detecting dimerization of p66 and p51 using b-gal activity as a readout, and confirmed in coimmunoprecipitation experiments. The phenomenon was also observed in vitro using bacterially expressed GST-p51 and p66-His showing that it is not specific to yeast. NNRTIs were also able to induce the dimerization of the interaction defective mutants L234A and W401A. Furthermore, efavirenz can bind tightly to p66-His and then subsequently promote heterodimerization. The data indicate that NNRTIs have properties similar to conventional CIDs in their capacity to enhance the interaction between two proteins. As the interaction between p66 and p51 occurs naturally and the effect of the NNRTIs is to enhance this interaction then these small molecules are best described as chemical enhancers of dimerization.

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Correlation between in vitro and in vivo enhancement of heterodimerization by NNRTIs

The most potent b-gal enhancing NNRTIs in the Y2H RT dimerization assay were efavirenz, UC781 and HBY 097. These drugs are second generation NNRTIs that are also extremely potent inhibitors of HIV-1 replication in vitro (21, 25, 27). Efavirenz and UC781 differ from the other NNRTIs in that they bind very tightly to the RT heterodimer and exhibit very slow dissociation rates (k_{off}) (34, 35). The tight binding properties of efavirenz and UC781 may in part have contributed to their potency as enhancers of heterodimerization in yeast. There was generally a very good correlation between the relative potency in inducing dimerization of the NNRTIs in vitro and in yeast, with the exception of UC781 and UC10.

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-121-

Relationship between drug induced enhancement of dimerization, structural changes in the HIV-1 RT and RT inhibitory activity.

NNRTIs bind in a hydrophobic pocket at the base of the p66 thumb subdomain which is proximal to (~ 10 Å), but distinct from the polymerase active. It is clear that the size of the NNRTI binding pocket is small compared to the extensive dimer interface (Fig. 14). No strong correlation was found between the extent of the p66/p51 interface (36, 37) in the structures of the HIV-1 RT in complex with several NNRTIs and the drug concentration mediating a 5-fold enhancement of b-gal activity. Thus, the NNRTI effect on heterodimerization is not a simple function of the surface area buried at the interface, and NNRTIs may affect dimerization by other mechanisms in addition to modulating the extent of the contacts. The position of the drug in the pocket and the degree of NNRTI interaction with the p51 subunit were found to vary significantly among the different RT/NNRTI complexes (Fig. 9), and the changes in the vicinity of the bound NNRTIs may also play a role in heterodimer formation.

Binding of efavirenz to RT is accompanied by conformational changes in the binding pocket region, and these changes (including at Leu234) (38), may also influence dimer formation. Delavirdine is the longest NNRTI inhibitor and a portion of it protrudes outside the NNRTI binding site causing the largest distortion of the p66 subunit of any of the NNRTIs studied to date (39). Delavirdine binds the furthest away from the p66/p51 interface (closest distance between delavirdine and p51 is 5.1 Å compared to 3.8 Å for

-122-

UC781) (Fig. 15). The unique characteristics of the interaction of delavirdine with HIV-1 RT suggest that this NNRTI may bind to p66 in a distinctive way that does not favor the enhancement of dimerization.

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The relationship between the RT inhibitory activity of the NNRTIs in an exogenous RT assay (50% inhibitory concentration) and the concentration of drug that mediates a 5-fold increase in b-gal activity in the Y2H assay was compared. Efavirenz was the most potent in both assays while UC38 was the least active (results not shown). Examination of the data revealed a fair correlation ($r = 0.6$) between these two parameters suggesting a relationship between the b-gal enhancement effect and RT inhibitory activity in vitro.

Potential mechanisms for NNRTI enhancement of dimerization
How might the NNRTIs enhance heterodimerization? One possible model involves NNRTI binding directly to the p66 monomer. Drug binding to monomeric p66 may stabilize a conformation that is more conducive to heterodimer formation, and a more potent NNRTI may effectively increase the concentration of p66 in a conformation that promotes dimerization. Alternatively, efavirenz may cause the p66 monomer to have a conformational flexibility that allows this subunit to more readily undergo structural changes necessary for dimerization. A second model would entail NNRTIs binding only to the heterodimer and as a consequence stabilizing the dimer. The binding could shift the equilibrium toward the dimer. The data suggest that efavirenz binds tightly to p66.

-123-

However, as bacterially expressed p66 comprises a population of monomers and homodimers it is unclear whether GST-p51 is binding directly to monomeric p66 complexed with drug or is exchanging with one p66 subunit in the drug bound homodimer.

5 Elucidation of the exact mechanism of NNRTI induced enhancement of dimerization will require further studies.

The findings may have biological significance in terms of effects on virus replication. Drug binding to p66 could
10 potentially modulate the interaction between Pr160^{GagPol} precursors which may affect regulation of HIV-1 protease-specific cleavage of this polyprotein. Further, the Y2H RT dimerization assay can potentially be used to screen for NNRTIs with the capacity to bind and mediate the appropriate
15 conformational changes in the p66 subunit that results in enhanced binding to p51. It is possible that novel allosteric inhibitors of RT may be selected using this assay.

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-129-

What is claimed:

1. A method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:
 - a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
 - b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.
2. A method of determining whether a compound inhibits formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

-130-

- 5 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- 10 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.
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3. A method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:
- 25 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii)
- 30 a reporter gene which is activated in the presence of

-131-

a complex between the p66 subunit polypeptide and the p51 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and

5 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates
10 that the compound is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse
15 transcriptase.

4. A method of determining whether a compound enhances formation of a complex between a p66 subunit polypeptide of HIV-1 reverse transcriptase and a p51
20 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a p66 subunit polypeptide of HIV-1
25 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a p51 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the p66 subunit polypeptide and the
30 p51 subunit polypeptide, and determining the level of

-132-

activity of the reporter gene in the cell in the presence of the compound; and

- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene determined in step (a) indicates that the compound is an activator of the formation of the complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and the p66 subunit polypeptide of HIV-1 reverse transcriptase.
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5. A method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:
- 15 a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
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- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the
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-133-

reporter gene in step (a) indicates that the compound inhibits formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.

6. A method of determining whether a compound inhibits formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:

a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and

b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein a decreased level of activity of the reporter gene in step (a) indicates that the compound inhibits formation of a complex between the first p66

-134-

subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

- 5 7. A method of determining whether a compound inhibits HIV-1 reverse transcriptase which comprises:
- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66 subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
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- 15
- 20 b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.
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-135-

8. A method of determining whether a compound enhances formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase which comprises:
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- a) contacting a yeast cell with the compound, which cell comprises (i) a first plasmid which expresses a fusion protein comprising a first p66 subunit polypeptide of HIV-1 reverse transcriptase, (ii) a second plasmid
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- which expresses a fusion protein comprising a second p66 subunit polypeptide of HIV-1 reverse transcriptase, and (iii) a reporter gene which is activated in the presence of a complex between the first p66 subunit polypeptide and the second p66
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- subunit polypeptide, and determining the level of activity of the reporter gene in the cell in the presence of the compound; and
- b) comparing the level of activity of the reporter gene determined in step (a) with a level of activity of the
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- reporter gene determined in the absence of the compound, wherein an increased level of activity of the reporter gene in step (a) indicates that the compound is an activator of the formation of the complex between the first p66 subunit polypeptide of
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- HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase, thereby indicating that the compound inhibits HIV-1 reverse transcriptase.
- 30 9. The method of any one of claims 1-8, wherein (a) the

-136-

fusion protein expressed by the first plasmid comprises a peptide having a DNA binding domain, and (b) the fusion protein expressed by the second plasmid comprises a peptide having a transcription activation domain.

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10. The method of claim 9, wherein the DNA binding domain is a LexA DNA binding domain.
- 10 11. The method of claim 10, wherein the peptide having a DNA binding domain comprises LexA amino acid residues 1-87.
12. The method of claim 10, wherein the peptide having a DNA binding domain comprises LexA amino acid residues 1-202.
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13. The method of claim 9, wherein the DNA binding domain is a GAL4 DNA binding domain.
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14. The method of claim 9, wherein the transcription activation domain is a GAL4 transcription activation domain.
- 25 15. The method of claim 14, wherein the peptide having the transcription activation domain comprises GAL4 amino acid residues 768-881.
16. The method of claim 9, wherein the transcription activation domain is a VP16 transcription activation
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-137-

domain.

17. The method of any one of claims 1-8, wherein (a) the fusion protein expressed by the first plasmid comprises a peptide having a transcription activation domain, and (b) the fusion protein expressed by the second plasmid comprises a peptide having a DNA binding domain.
18. The method of claim 17, wherein the DNA binding domain is a LexA DNA binding domain.
19. The method of claim 18, wherein the peptide having a DNA binding domain comprises LexA amino acid residues 1-87.
20. The method of claim 18, wherein the peptide having a DNA binding domain comprises LexA amino acid residues 1-202.
21. The method of claim 17, wherein the DNA binding domain is a GAL4 DNA binding domain.
22. The method of claim 17, wherein the transcription activation domain is a GAL4 transcription activation domain.
23. The method of claim 22, wherein the transcription activation domain comprises GAL4 amino acid residues 768-881.

-138-

24. The method of claim 17, wherein the transcription activation domain is a VP16 transcription activation domain.
- 5 25. The method of any one of claims 1-8, wherein the fusion protein expressed by the first plasmid, the second plasmid or both plasmids comprises a peptide comprising consecutive alanine residues.
- 10 26. The method of claim 25, wherein the peptide comprising consecutive alanine residues comprises at least 6 alanine residues.
- 15 27. The method of any one of claims 1-8, wherein the fusion protein comprises an influenza hemagglutinin (HA) epitope tag.
28. The method of any one of claims 1-8, wherein the reporter gene is a LacZ reporter gene.
- 20 29. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which
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-139-

Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

30. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

31. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-87, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which Gal4 peptide is bound at its C-

-140-

terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

32. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-87, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the of the p66 subunit polypeptide; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

33. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-202, and a peptide comprising six consecutive alanine residues, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by the second plasmid

-141-

comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

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34. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a LexA peptide corresponding to amino acid residues 1-202, and a peptide comprising six consecutive alanine residues, wherein the LexA peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, and an influenza hemagglutinin (HA) epitope tag, which Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, which influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

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35. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA)

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-142-

epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p51 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain.

36. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide

-143-

comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein peptide comprising a LexA protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

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37. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a Gal4 protein DNA binding domain, which peptide comprising a Gal4 protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit

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-144-

polypeptide.

38. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p51 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain.
39. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a LexA protein DNA binding domain, which peptide comprising a LexA protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.
40. The method of any one of claims 1-4, wherein (a) the fusion protein expressed by the first plasmid comprises a Gal4 peptide corresponding to amino acids

-145-

768-881 of Gal4, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide; and (b) the fusion protein expressed by second plasmid comprises a peptide comprising a Gal4 protein DNA binding domain, which peptide comprising a Gal4 protein DNA binding domain is bound at its C-terminal amino acid to the N-terminal amino acid of the p51 subunit polypeptide.

41. The method of any one of claims 5-8, wherein (a) the fusion protein expressed by the first plasmid comprises a peptide comprising a LexA protein DNA binding domain, wherein the p66 subunit polypeptide is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising a LexA protein DNA binding domain; and (b) the fusion protein expressed by the second plasmid comprises a Gal4 peptide corresponding to amino acids 768-881 of Gal4, an influenza hemagglutinin (HA) epitope tag, and a peptide comprising six consecutive alanine residues, wherein the Gal4 peptide is bound at its C-terminal amino acid to the N-terminal amino acid of the influenza hemagglutinin (HA) epitope tag, wherein the influenza hemagglutinin (HA) epitope tag is bound at its C-terminal amino acid to the N-terminal amino acid of the peptide comprising six consecutive alanine residues, wherein the peptide comprising six consecutive alanine residues is bound at its C-terminal amino acid to the N-terminal amino acid of the p66 subunit polypeptide.

-146-

42. A method of making a pharmaceutical composition which comprises:
- a) determining whether a compound inhibits HIV-1 reverse transcriptase by the method of any one of claims 1-8;
 - b) recovering the compound if it is determined to inhibit HIV-1 reverse transcriptase; and
 - c) admixing the compound with a pharmaceutically acceptable carrier.
43. A method of inhibiting formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide, or (3) both the p51 subunit polypeptide and the p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 2, so to thereby inhibit formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.
44. A method of enhancing formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the p51 subunit polypeptide, (2) the p66 subunit polypeptide, or (3) both the p51 subunit polypeptide and the p66 subunit polypeptide, with an

-147-

effective amount of a compound determined to do so by the method of claim 4, so to thereby enhance formation of a complex between the p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase.

45. A method of inhibiting formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 6, so to thereby inhibit formation of a complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

46. A method of enhancing formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase, which comprises contacting either (1) the first p66 subunit polypeptide, (2) the second p66 subunit polypeptide, or (3) both the first p66 subunit polypeptide and the second p66 subunit polypeptide, with an effective amount of a compound determined to do so by the method of claim 8, so to thereby enhance formation of a

-148-

complex between the first p66 subunit polypeptide of HIV-1 reverse transcriptase and the second p66 subunit polypeptide of HIV-1 reverse transcriptase.

- 5 47. The method of any one of claims 43-46, wherein the HIV-1 reverse transcriptase is present in a subject and the contacting is effected by administering the compound to the subject.
- 10 48. The method of claim 47, wherein the compound is administered orally, intravenously, subcutaneously, intramuscularly, topically or by liposome-mediated delivery.
- 15 49. The method of claim 47, wherein the subject is a human being, a primate, an equine, an opine, an avian, a bovine, a porcine, a canine, a feline or a mouse.
- 20 50. The method of claim 47, wherein the effective amount of the compound is between about 1mg and about 50mg per kg body weight of the subject.
- 25 51. The method of claim 50, wherein the effective amount of the compound is between about 2mg and about 40mg per kg body weight of the subject.
52. The method of claim 51, wherein the effective amount of the compound is between about 3mg and about 30mg per kg body weight of the subject.

-149-

53. The method of claim 52, wherein the effective amount of the compound is between about 4mg and about 20mg per kg body weight of the subject.
- 5 54. The method of claim 53, wherein the effective amount of the compound is between about 5mg and about 10mg per kg body weight of the subject.
55. The method of claim 54, wherein the compound is
10 administered at least once per day.
56. The method of claim 47, wherein the compound is administered daily.
- 15 57. The method of claim 47, wherein the compound is administered every other day.
58. The method of claim 47, wherein the compound is administered every 6 to 8 days.
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59. The method of claim 47, wherein the compound is administered weekly.
60. A compound determined to be capable of inhibiting
25 formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase by the method of claim 2.
- 30 61. A compound determined to be capable of enhancing

-150-

formation of a complex between a p51 subunit polypeptide of HIV-1 reverse transcriptase and a p66 subunit polypeptide of HIV-1 reverse transcriptase by the method of claim 4.

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62. A compound determined to be capable of inhibiting formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase by the method of claim 6.

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63. A compound determined to be capable of enhancing formation of a complex between a first p66 subunit polypeptide of HIV-1 reverse transcriptase and a second p66 subunit polypeptide of HIV-1 reverse transcriptase by the method of claim 8.

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64. A composition which comprises the compound of any one of claims 60-63 and a carrier.

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FIGURE 1








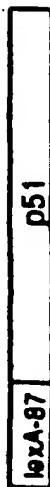




| Construct | Fusion protein | Expression in yeast |
|--------------|---|---------------------|
| p66SH2-1 | lexA ₈₇ -66  | + |
| p66Lex202 | lexA ₂₀₂ -66  | +/- |
| p66AlaLex202 | lexA ₂₀₂ -Ala-66  | + |
| p66NLexA | 66-lexA ₂₀₂  | + |
| p66GBT9 | Gal4BD-66  | - |
| p66GADNOT | Gal4AD-66  | ++ |
| p66AlaACTII | Gal4AD-HA-Ala-66  | ++ |
| p51SH2-1 | lexA ₈₇ -51  | ++ |
| p51Lex202 | lexA ₂₀₂ -51  | ++ |
| p51AS2-1 | Gal4BD-51  | ND |
| p51GADNOT | Gal4AD-51  | ++ |
| p51ACTII | Gal4AD-HA-51  | ++ |

FIGURE 2

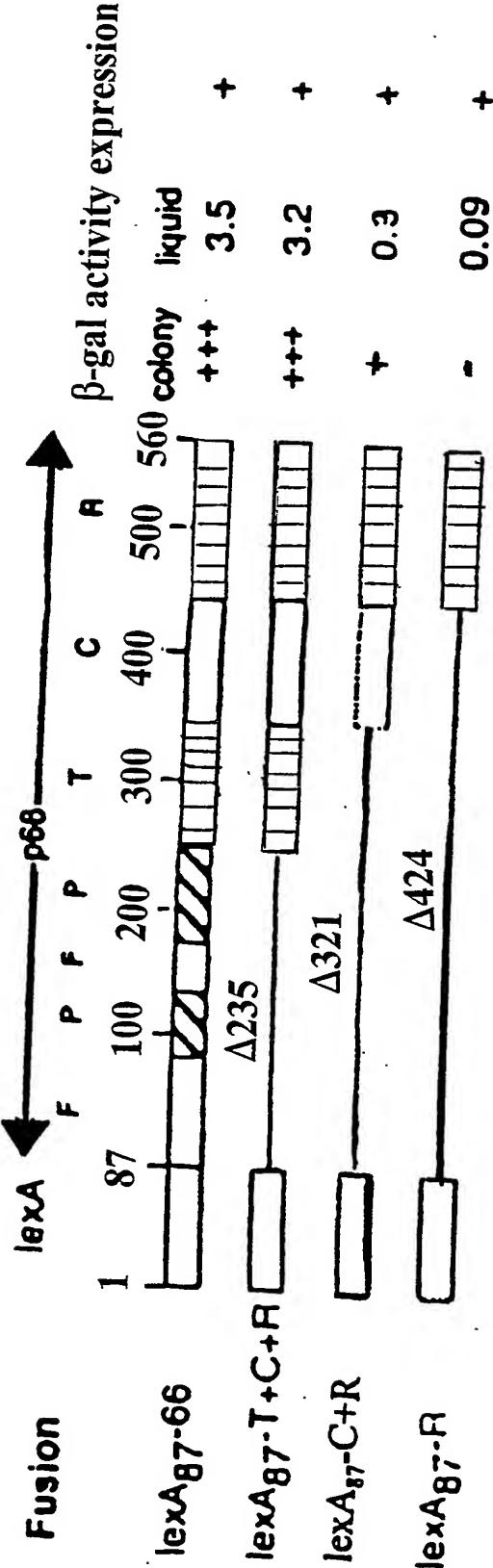
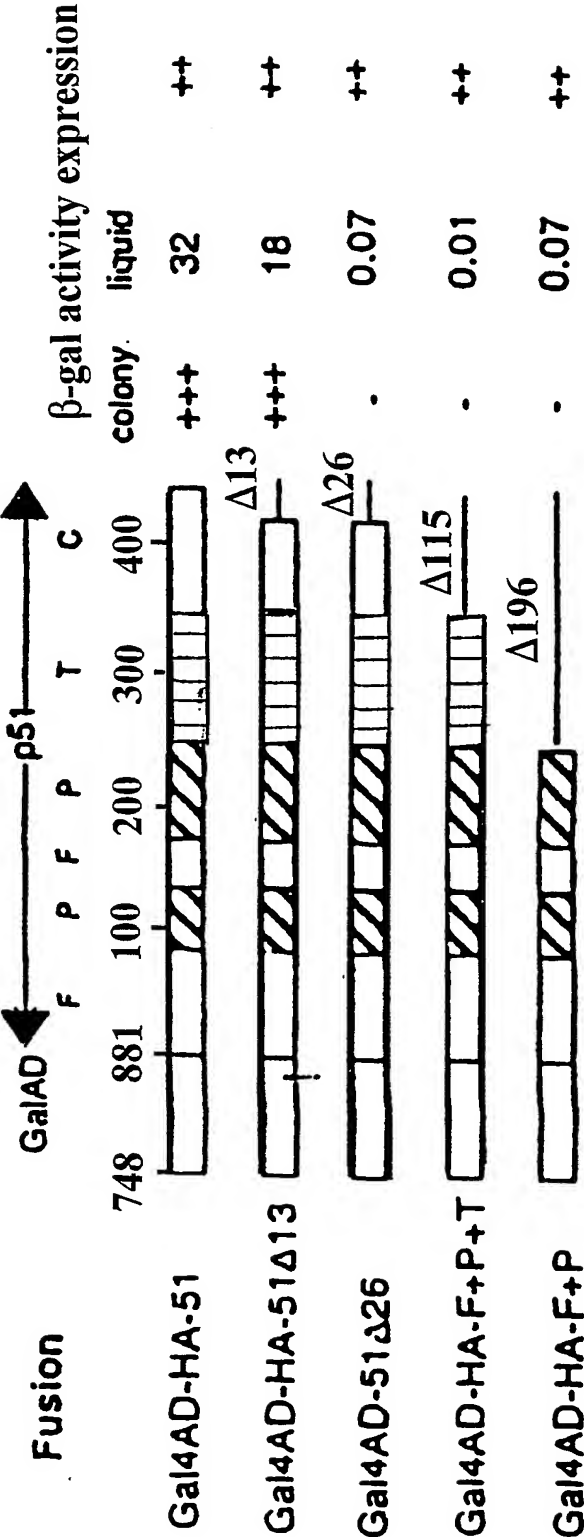







FIGURE 3

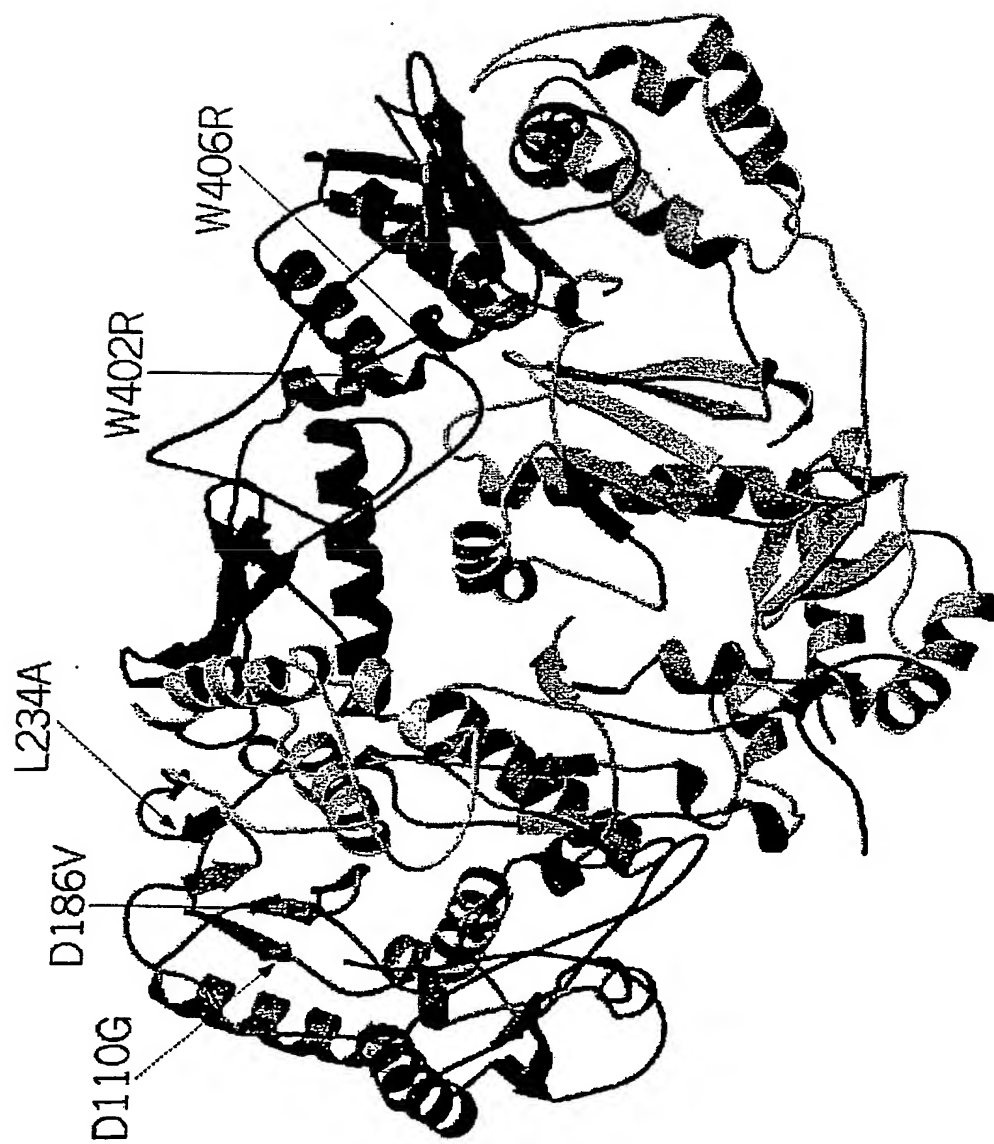


4/15

FIGURE 4

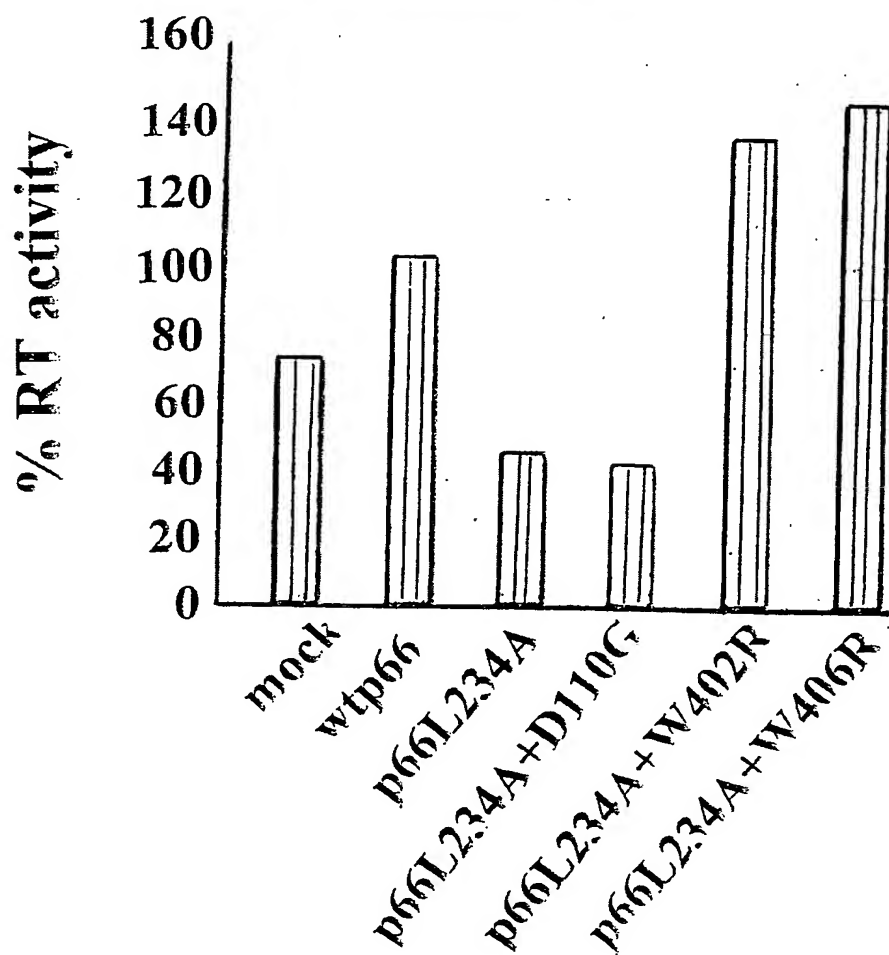
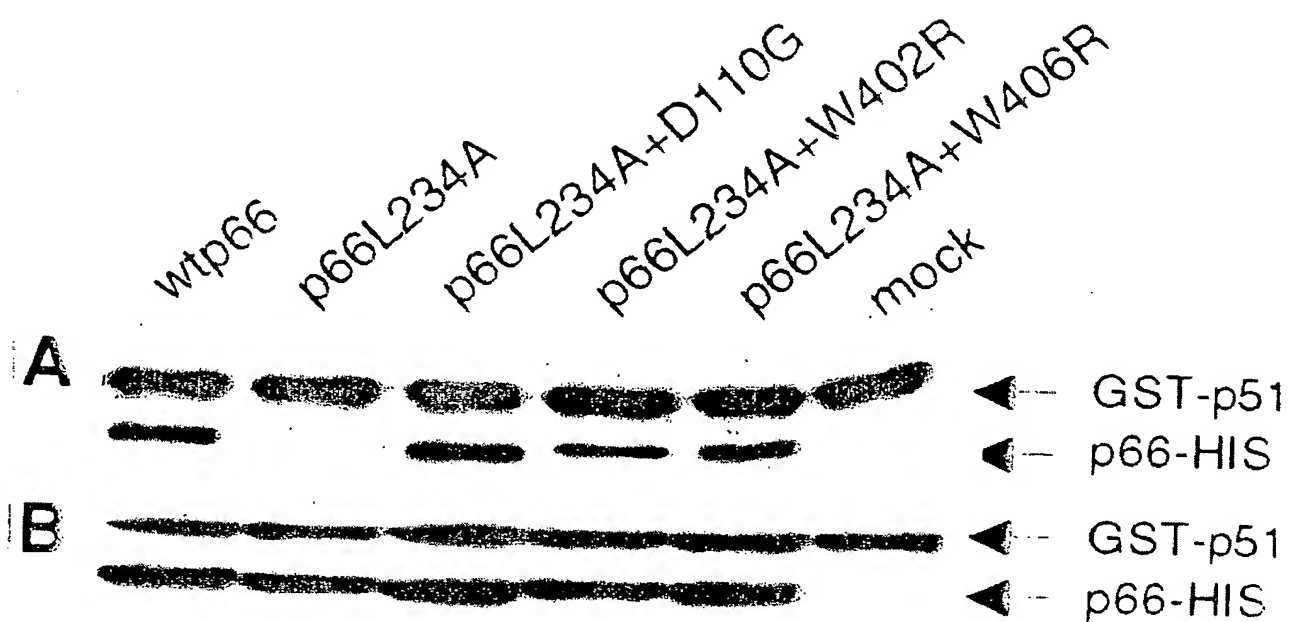
| Fusion Proteins | | beta-gal activity (Miller Units) |
|---|--|-------------------------------------|
|  | | 3.2 |
|  | | 0.01 |
|  | | 0.06 |
|  | | 1.9 |
|  | | 0.1 |

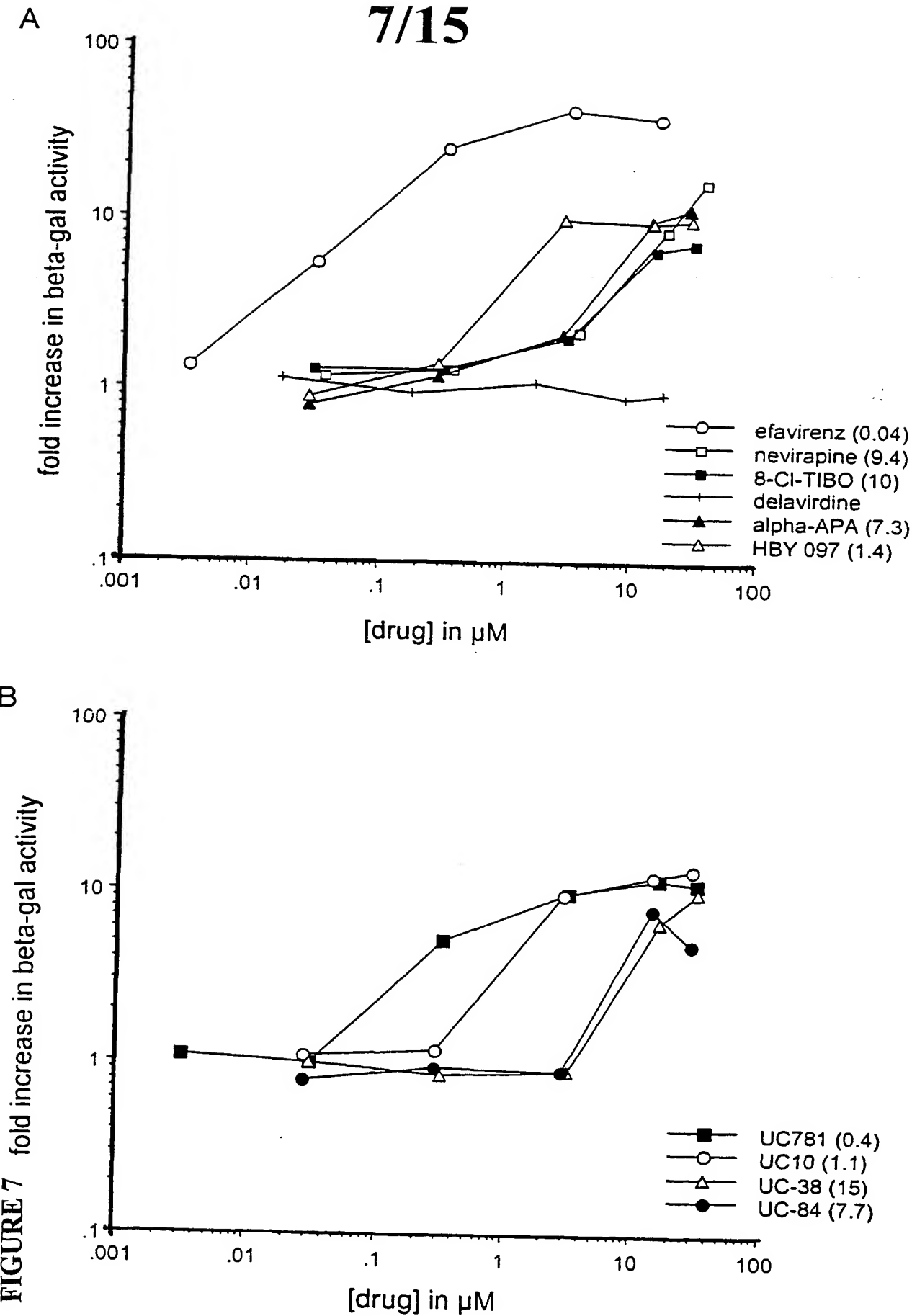
5/15

FIGURE 5

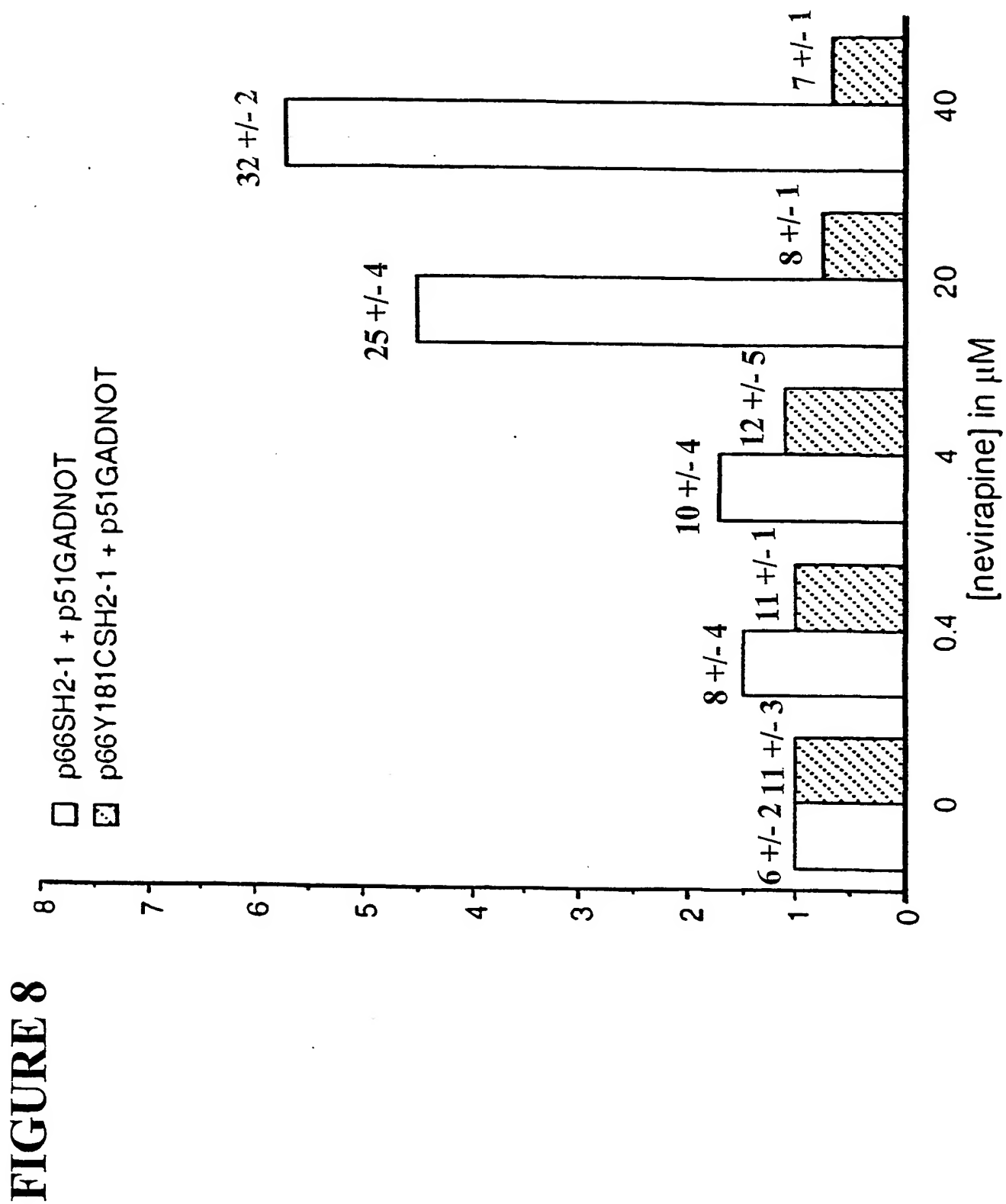
6/15

FIGURE 6



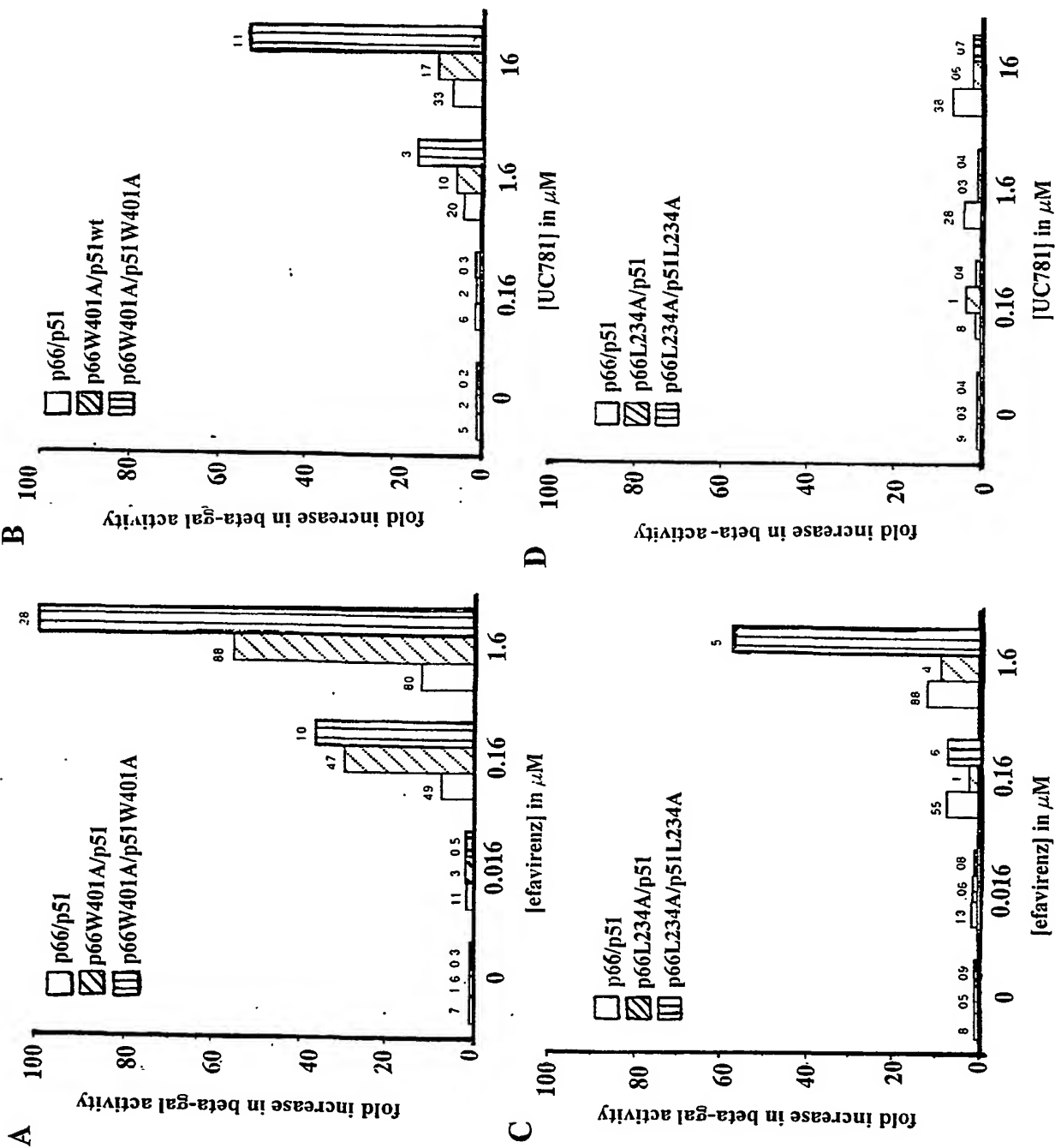


8/15



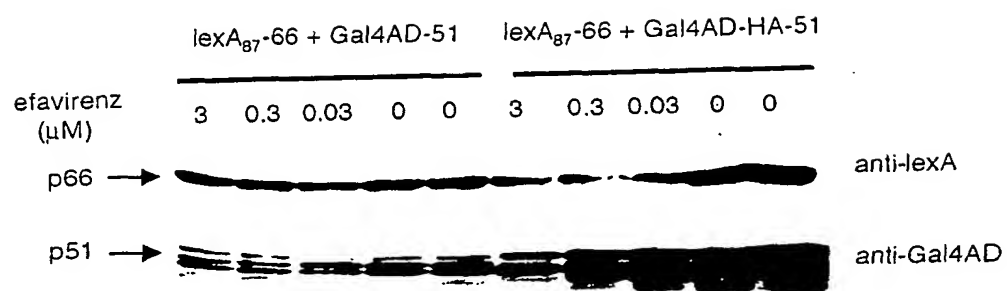
9/15

FIGURE 9



10/15

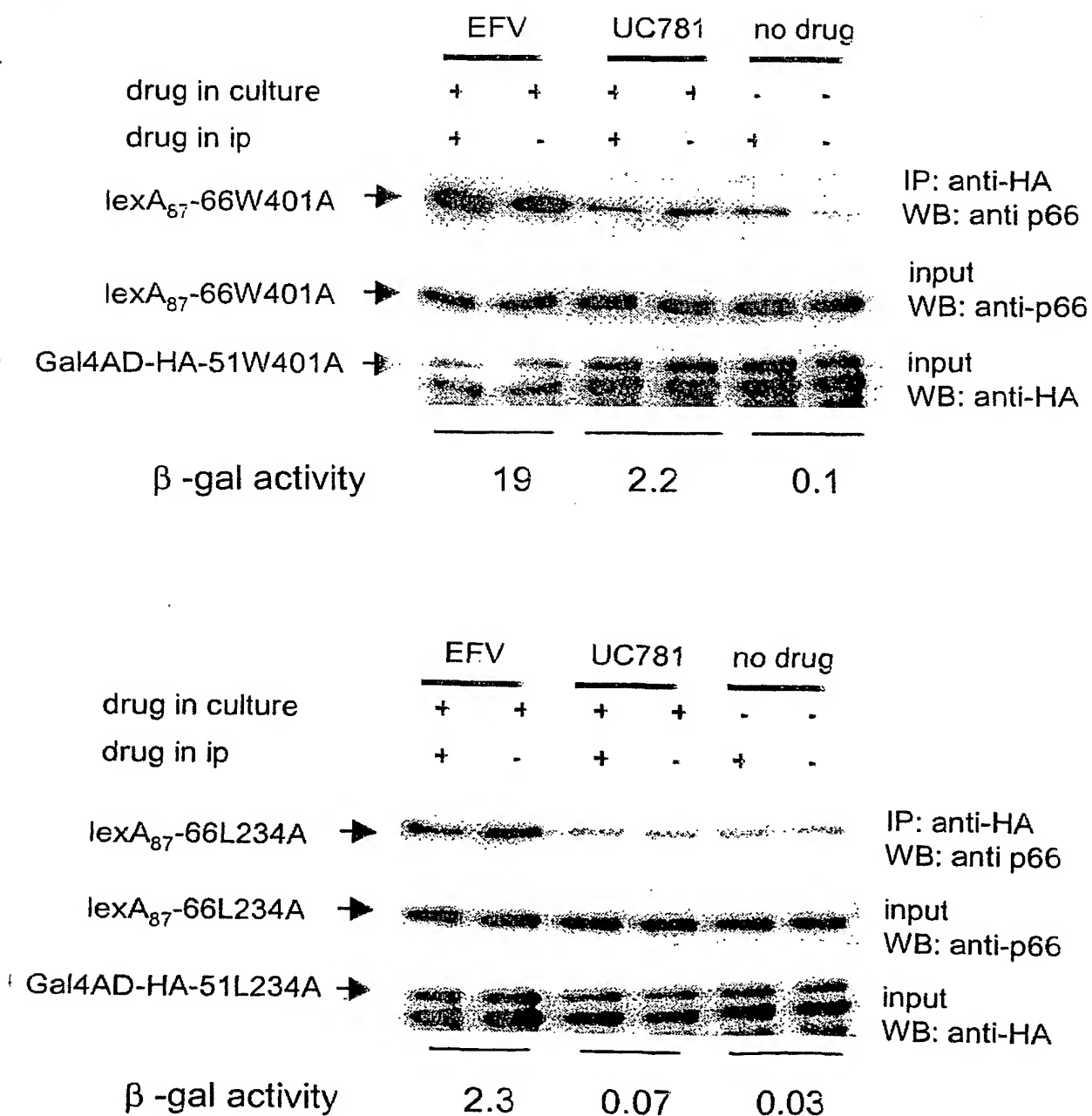
FIGURE 10



11/15

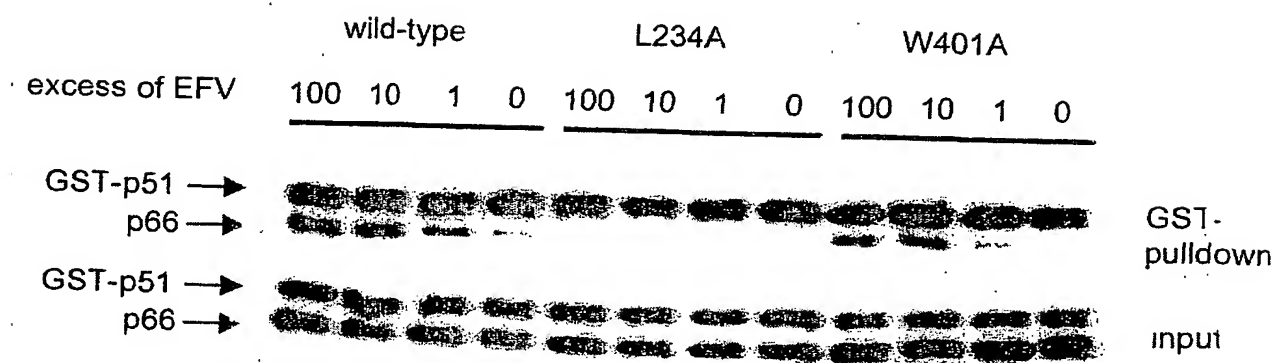
FIGURE 11

A



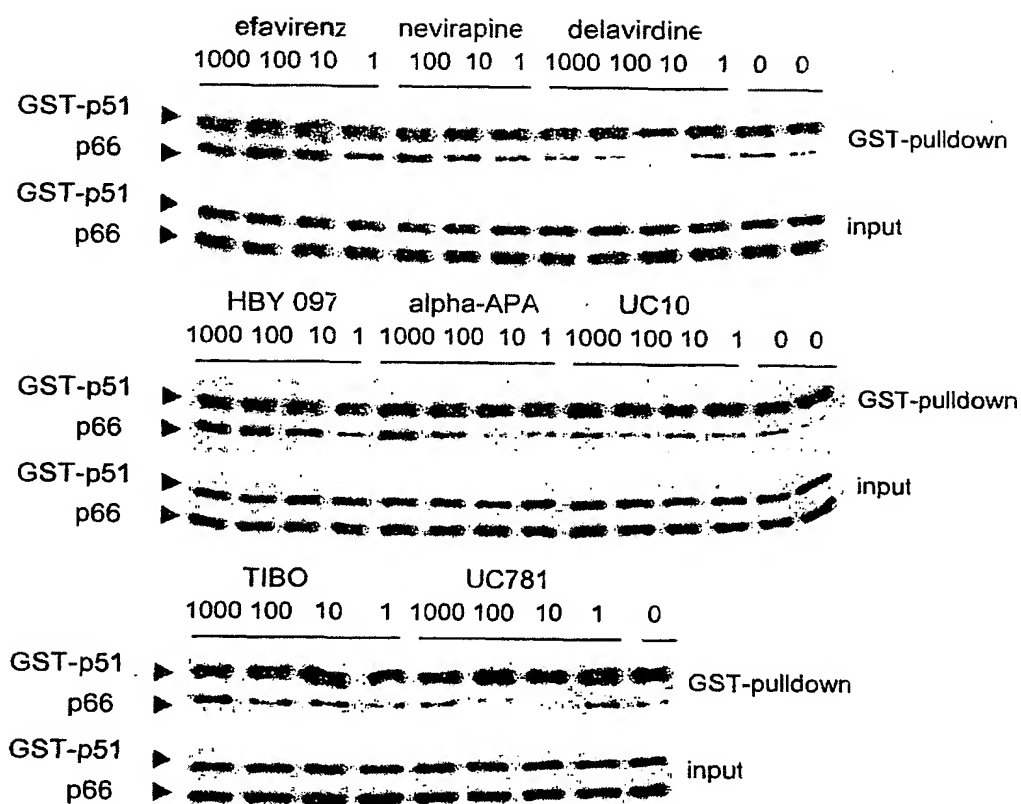
12/15

FIGURE 12



13/15

FIGURE 13



14/15

FIGURE 14

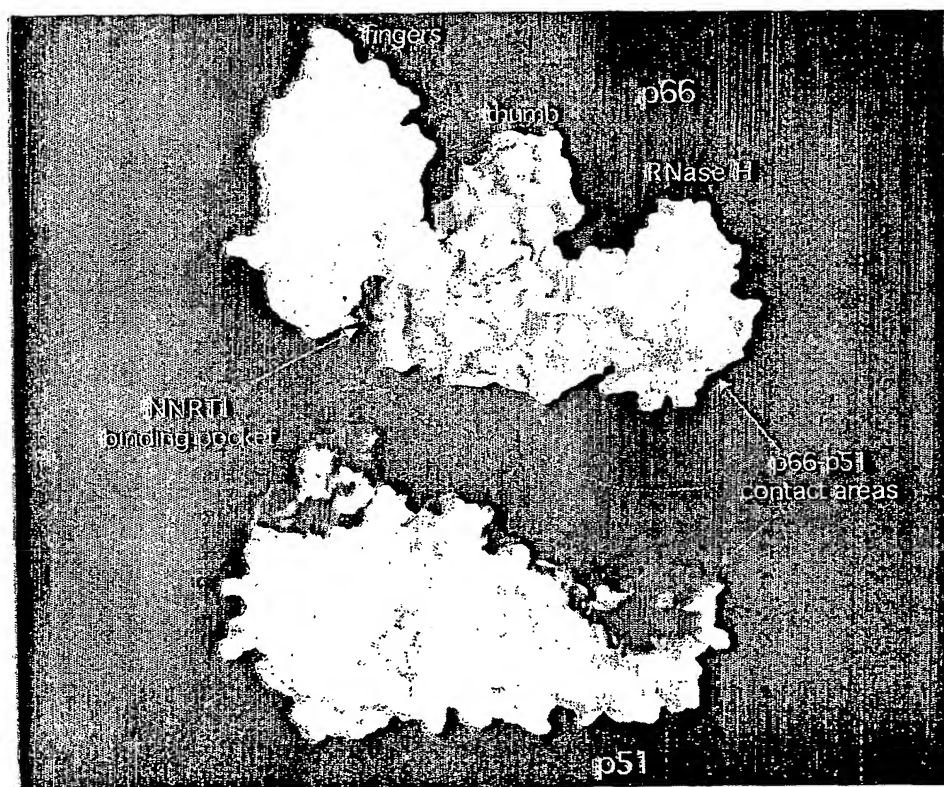
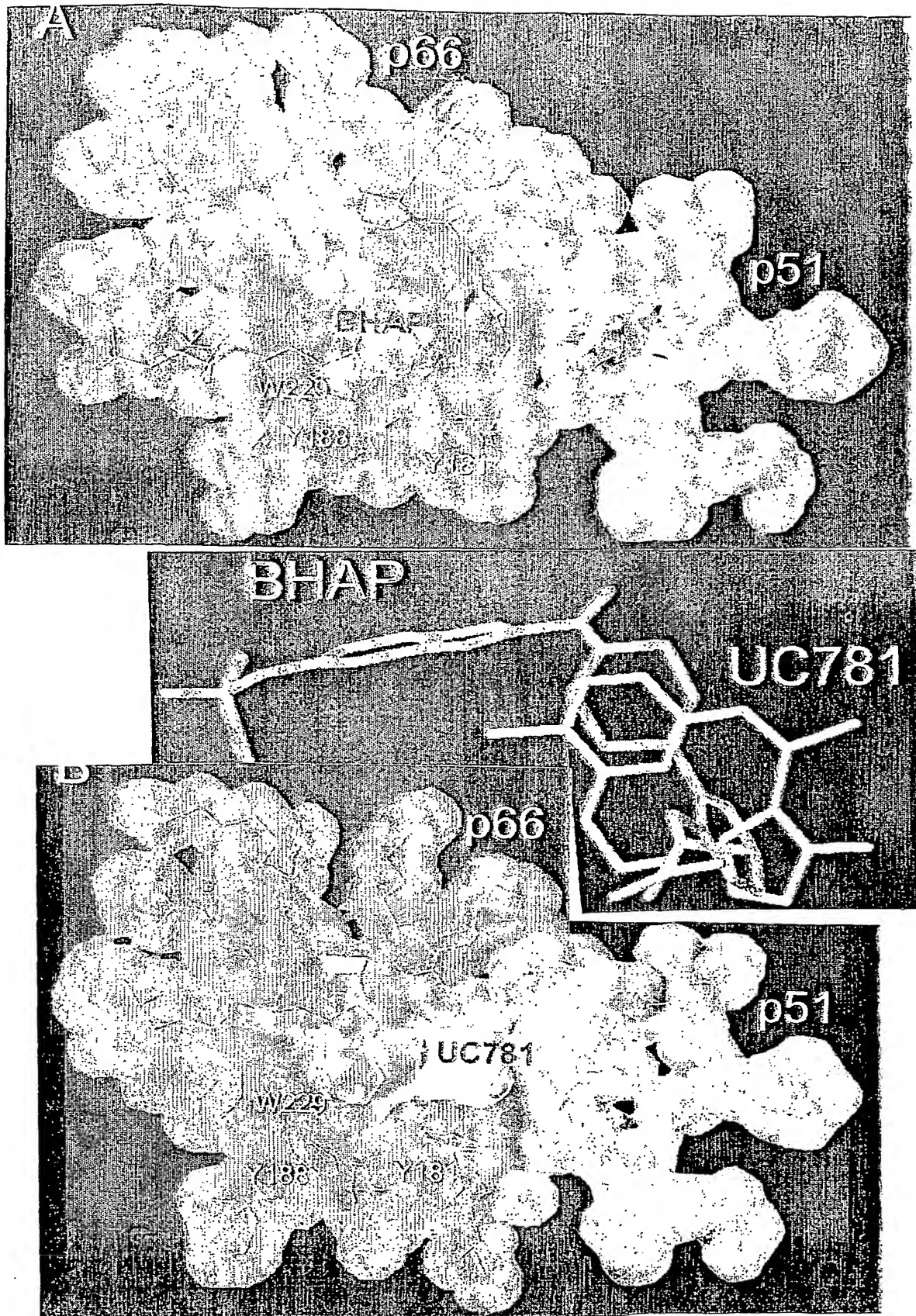


FIGURE 15 15/15



INTERNATIONAL SEARCH REPORT

Int. application No.
PCT/US01/18339

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12Q 1/70; C12P 21/06, 21/04; C12N 15/00; A61K 39/00

US CL : 435/5, 69.1, 69.7, 69.9, 320.1; 424/192.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/5, 69.1, 69.7, 69.9, 320.1; 424/192.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

US PATENTS, DIALOG, HIV reverse transcriptase, yeast two-hybrid system, inhibitors

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y | US 5,922,856 A (FRITZ et al) 13 July 1999, col: 10, lines 56-67. | 1-64 |
| Y | LUBAN et al., Genetic Assay for Multimerization of Retroviral gag Polyproteins, Journal of Virology, Aug. 1992, Vol. 66, No. 8, p. 5157-5160, entire article. | 1-64 |
| Y | MORRIS et al., The Thumb Domain of the P51-Subunit is Essential for Activation of HIV Reverse Transcriptase, Biochemistry, October 1999, Vol. 38, No. 46, pages 15097-15103, entire article. | 1-64 |



Further documents are listed in the continuation of Box C.



See patent family annex.

| | | | |
|-----|---|-----|--|
| "A" | document defining the general state of the art which is not considered to be of particular relevance | "T" | later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention |
| "E" | earlier document published on or after the international filing date | "X" | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone |
| "L" | document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "Y" | document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| "O" | document referring to an oral disclosure, use, exhibition or other means | "A" | document member of the same patent family |
| "P" | document published prior to the international filing date but later than the priority date claimed | | |

Date of the actual completion of the international search

02 JULY 2001

Date of mailing of the international search report

25 OCT 2001

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Telephone No. (703) 308-0196

INTERNATIONAL SEARCH REPORT

In international application No.

PCT/US01/18339

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y | LEGRAIN et al, Detection of protein-protein interactions using different vectors in the two-hybrid system, Nucleic Acids Research, 1994, Vol. 22, No. 15, pages 3241-3242, entire article. | 1-64 |
| Y | FIELDS et al, A novel genetic system to detect protein-protein interactions, Nature, July 1989, Vol. 340, pages 245-246, see entire article. | 1-64 |